

Afternoon Session B: Overview of Cohort Identification and Descriptive Analysis (CIDA) SAS Analytic Package Creation

Agenda



- Review Sentinel Operations Center Process Flow
- Create a CIDA SAS Package
 - 1. Complete Specifications and Compile Code Lists
 - 2. Input Files
 - 3. RUN_PROGRAMS (Main Program)
 - 4. SASPROGRAM (Master Program)

Recap of this Morning's Session



- Introduced our case study problem
 - Stroke following antipsychotics use
- Evaluated medical product utilization data
 - Sentinel Query Builder (Simplified Type 5 CIDA) Analysis Tool
- Introduced design diagram and query specifications for an incidence rates query with associated propensity score matching analysis
 - How to parameterize the regulatory question

What are you investigating?

Medical Products Only

Outcomes Only

Develop Unadjusted Incidence Rates (Type 2)

- Identifies an exposure of interest and looks for the occurrence of health outcomes of interest (HOIs) during exposed time.
- Output metrics include number of exposure episodes and number of patients, number of health outcomes of interest, and days at-risk.
- Example

ind

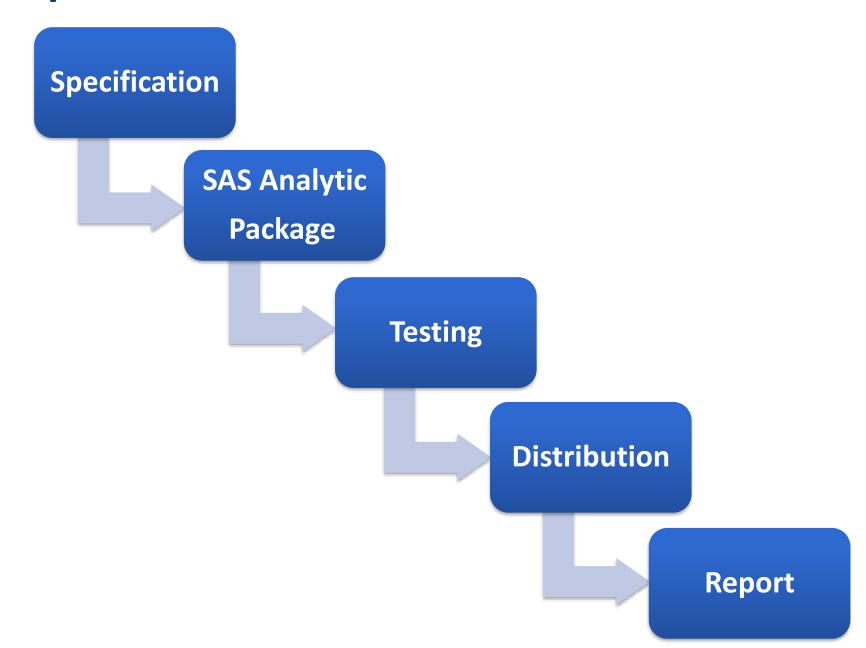
SGLT-2 Inhibitor Use and Incidence of Diabetic Ketoacidosis

Incidence Rates Type 2 (L1) **Propensity** Score **Analysis** (L2) (L3) Multiple **Factor Matching** (L2) (L3) Self-Controlled **Risk Interval** Design



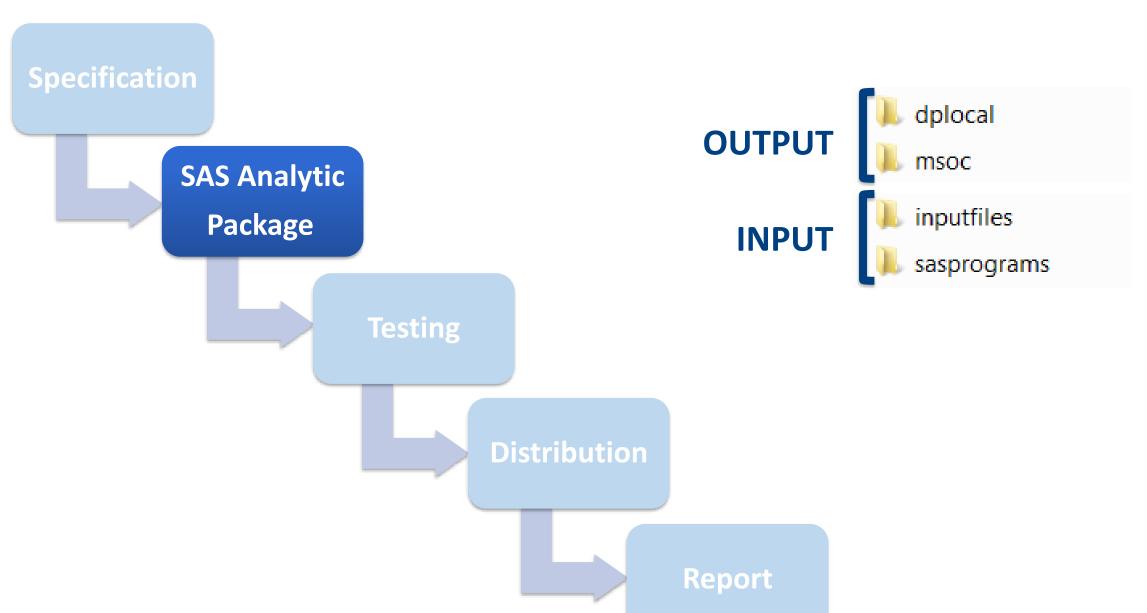
Operations Center Process Flow





Operations Center Process Flow





SAS Analytic Package (Program) Runs Against Data



- DPLOCAL (Data Partner Local) left empty
 - Location for patient-level data output that stays at Data Partner
- MSOC (Mini-Sentinel Operating Center) left empty
 - Location for aggregate-level data output that is returned
- INPUTFILES contains SAS data sets with necessary parameters, CIDA look-up tables, and CIDA macros
- SASPROGRAMS contains the single SAS macro to be executed in order to run the package

- 👢 dplocal
- msoc
- inputfiles
- sasprograms

Creating a SAS Analytic Package



Specifications

- Parameters
- Codes

Input Files

- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- **Propensity Score**
 - Comparison File

Main (run) Program

• Input file names



- Package location
- Dataset location



Step 1: Finalize Design Diagram and Specifications



Specifications

- Parameters
- Codes

Input Files



- Parameters
- Monitoring File*
- Cohort File
- Type File
- Strata File*
- Codes
 - Cohort Codes File
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- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program





- Package location
- Dataset location

*Global Parameter Files

Step 2: Parameterize Input Files



Specifications

- _
- Parameters
- Codes

Input Files



- Monitoring File*
- Cohort File
- Type File
- Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

Input file names



- Package location
- Dataset location



Step 3: Name and Locate Input Files



Specifications

- .
- Parameters
- Codes

Input Files



- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

• Input file names



- Package location
- Dataset location



Step 4: Name and Locate Formatted Data



Specifications

- Parameters
- Codes

Input Files



- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

• Input file names



Master (SAS) Program

- Package location
- Dataset location



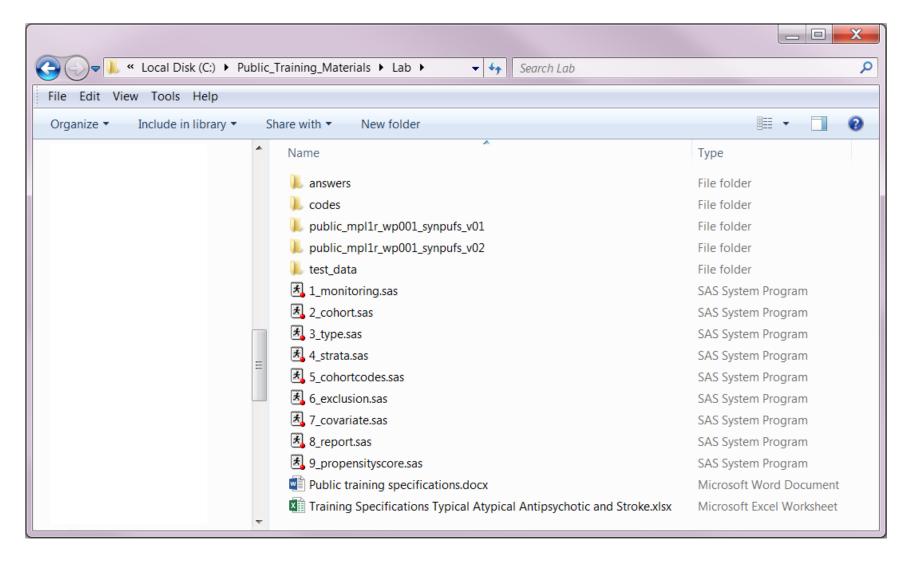


Making a CIDA SAS Analytic Package: Let's work on the Input Files

Getting Setup



Navigate to the "Lab" folder



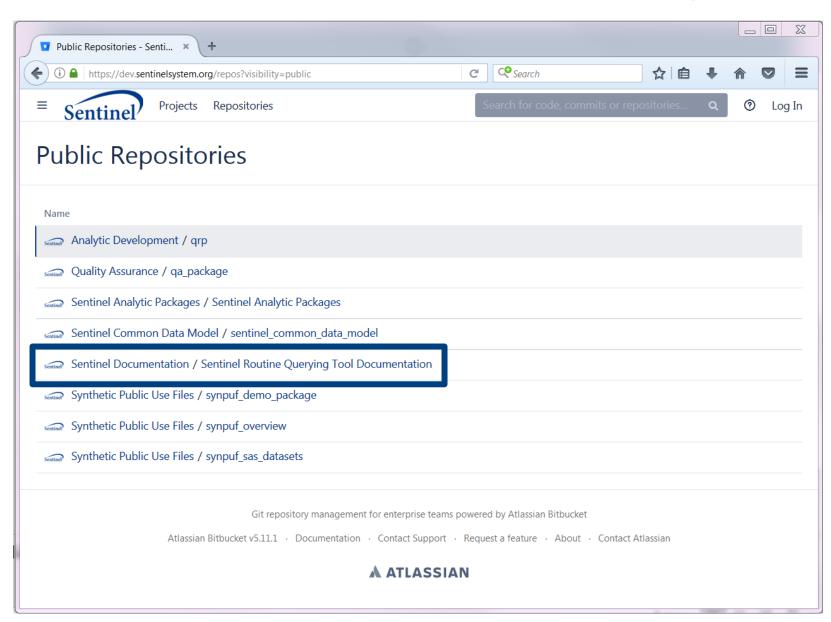
Materials



- Printed:
 - Specifications
 - Answer Key
- Webpage:
 - Sentinel Routine Querying Tool Documentation
- SAS files:
 - 9 SAS programs to create input files
 - SynPUFs Test Data

CIDA Documentation (dev.sentinelsystem.org)







CIDA Type 2 Documentation



EXPOSURES AND FOLLOW-UP TIME COHORT IDENTIFICATION STRATEGY

The exposures and follow-up time cohort creation strategy defines episodes of new use of a medical product of interest and evaluates the occurrence of HOIs. There are numerous requester options, including defining new use, exposed time, and episode censoring rules.

Identifying Exposure and Creating Exposure Episodes

An exposure can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). For example, exposure to a drug product dispensed in the outpatient setting can be defined as observation of one or more NDCs in the pharmacy dispensing table, whereas exposure to a vaccine can be defined based on observation of specific procedure codes in the procedure table.

The CIDA tool queries the SDD and extracts all codes indicative of exposure during the query period. NDCs are processed and those with a part of their days supply outside enrollment episodes are truncated to constrain the supply within eligibility. Dispensing dates are modified using the stockpiling algorithm and supply is truncated again to make sure they are still in eligibility periods (stockpiling can push claims outside enrollment period).

After dispensing dates are adjusted using the stockpiling algorithm, exposure episodes are created. Exposure episodes can be defined in one of two ways: a) using outpatient pharmacy dispensing days supplied to create a sequence of continuous exposure, and b) defining a specific number of days after exposure initiation as exposed time.

Creating Exposure Episodes using Dispensing Days Supplied

An exposure episode using outpatient pharmacy dispensing days supplied is defined as a sequence of treatment that ends when interrupted by a gap in days supply greater than a requester-defined episode gap. Consider an example where five outpatient pharmacy dispensings of the exposure of interest are observed during the query period (Figure 1).

Technical Documentation by Type



Calculate background rate (Type 1)	Exposures and follow-up time (Type 2)	Self-controlled risk interval (SCRI) design (Type 3)	Pregnancy episodes and identify medical product use (Type 4)	Medical product utilization (Type 5)	Manufacturer-level product utilization and switching patterns (Type 6)		
•••	•••	•••	•••	•••	•••		
Technical Documentation							
Program Package and Execution	Program Package and Execution	Program Package and Execution	Program Package and Execution	Program Package and Execution	Program Package and Execution		
Main Program Parameters	Main Program Parameters	Main Program Parameters	Main Program Parameters	Main Program Parameters	Main Program Parameters		
Lookup Tables	Lookup Tables	Lookup Tables	Lookup Tables	Lookup Tables	Lookup Tables		
				Additional Type 5 Lookup Table			
Input Files	Input Files	Input Files	Input Files	Input Files	Input Files		
Output Files	Output Files	Output Files	Output Files	Output Files	Output Files		

(excerpt)

Input Files by Type



COHORT IDENTIFICATION AND DESCRIPTIVE ANALYSIS (CIDA) TOOL INPUT TOOLS

Calculate background rate (Type 1)	Exposures and follow-up time (Type 2)	Self-controlled risk interval (SCRI) design (Type 3)	Pregnancy episodes and identify medical product use (Type 4)	Medical product utilization (Type 5)	Manufacturer-level product utilization and switching patterns (Type 6)	
Introduction						
Input Files						
Required						
Cohort File	Cohort File	Cohort File	Cohort File	Cohort File	Cohort File	
Type 1 File	Type 2 File	Type 3 File	Type 4 File	Type 5 File	Type 6 File	
Monitoring File	Monitoring File	Cohort Codes File	Monitoring File	Monitoring File	Monitoring File	
Cohort Codes File	Cohort Codes File	User-defined Strata Levels Lookup Table	Cohort Codes File	Cohort Codes File	Cohort Codes File	
User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table		User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table	
			Pregnancy Duration File			
Optional						
Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	
Covariate Codes File	Covariate Codes File	Covariate Codes File	Covariate Codes File	Covariate Codes File	Stockpiling File	
Comorbidity Score File	Comorbidity Score File	Comorbidity Score File	Mother-Infant Cohort File	Comorbidity Score File	Treatment Pathways File	
Utilization File	Utilization File	Utilization File	Comorbidity Score File	Utilization File		

Back to Step 2: Input File Creation



Specifications

Parameters

Codes

Input Files



- Monitoring File*
- Cohort File
- Type File
- Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- **Propensity Score**
 - Comparison File

Main (run) Program

• Input file names



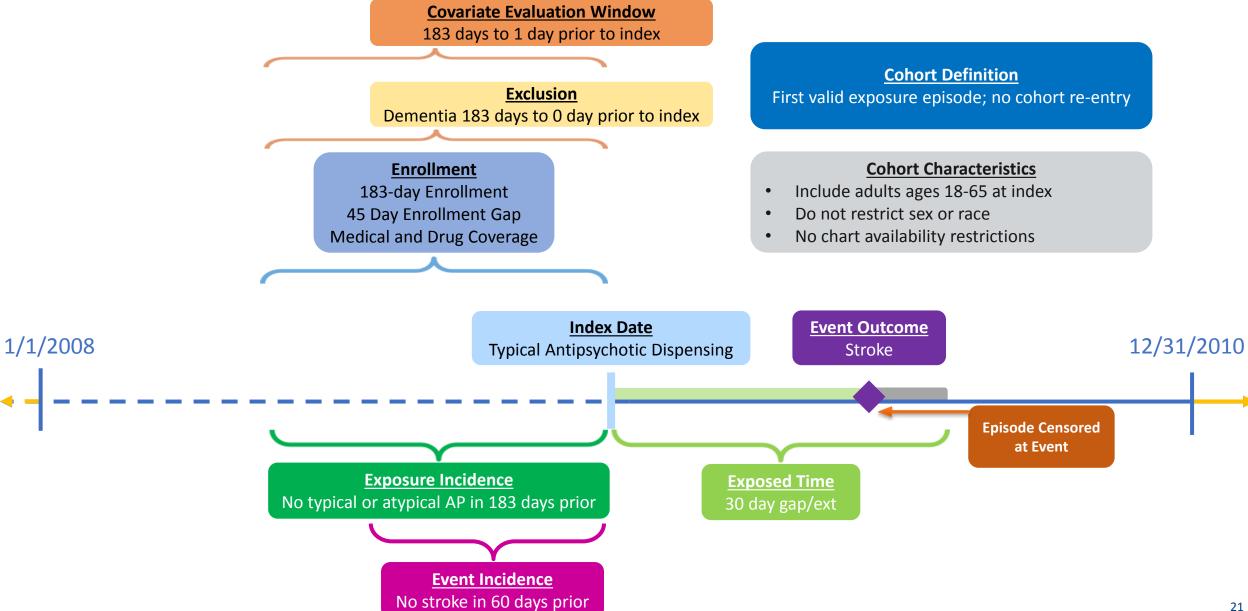
Master (SAS) Program

- Package location
- Dataset location



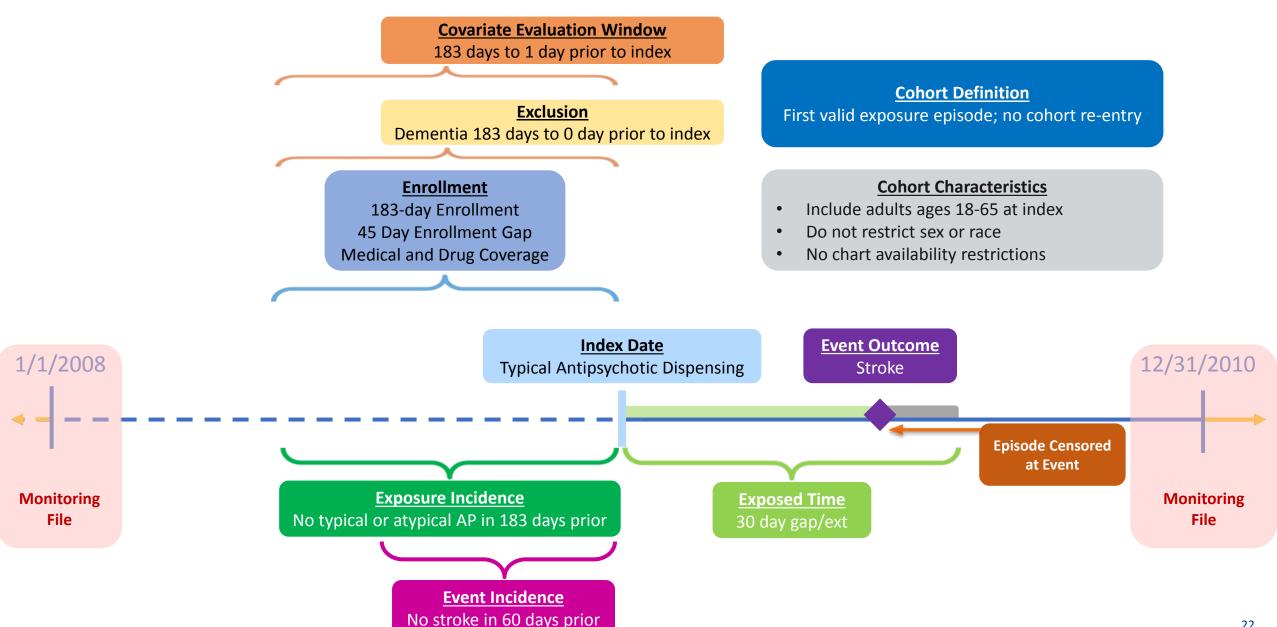
Incidence Rates Design Diagram Recap





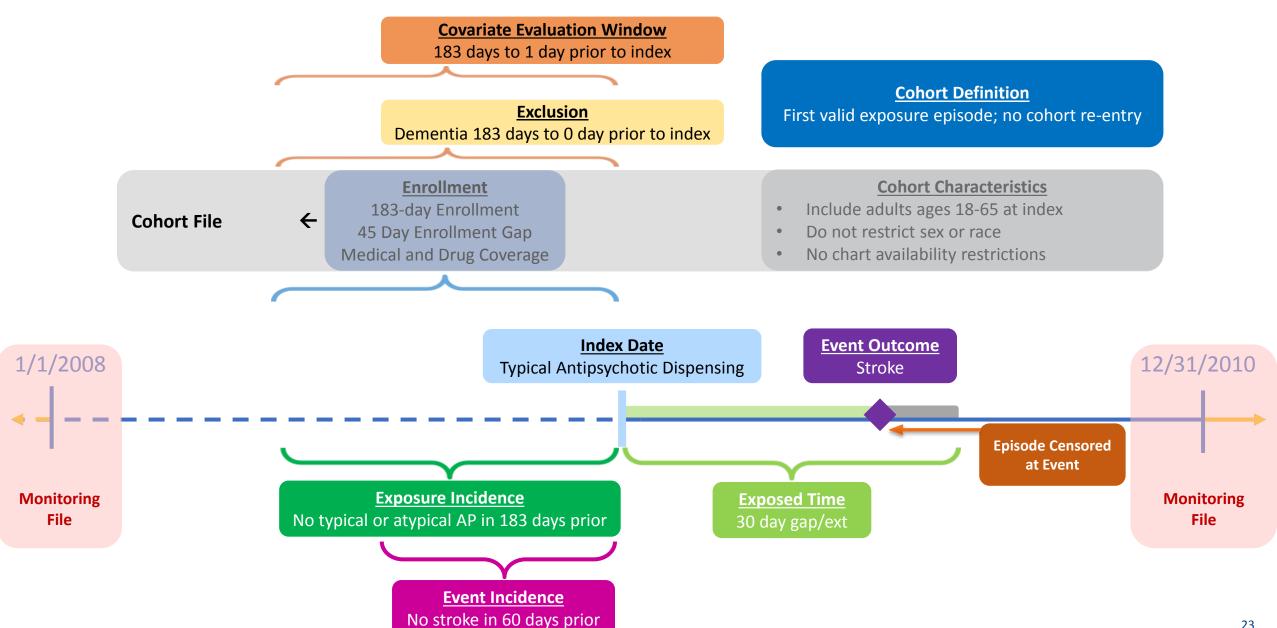
Inputs: Monitoring File





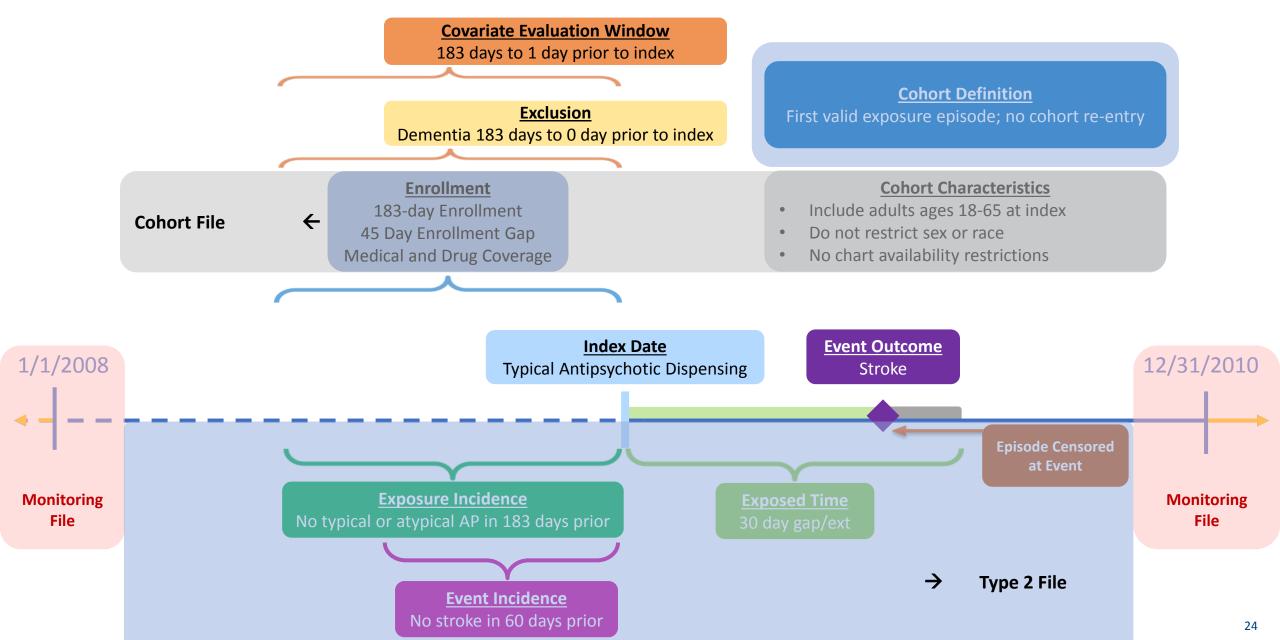
Inputs: Cohort File





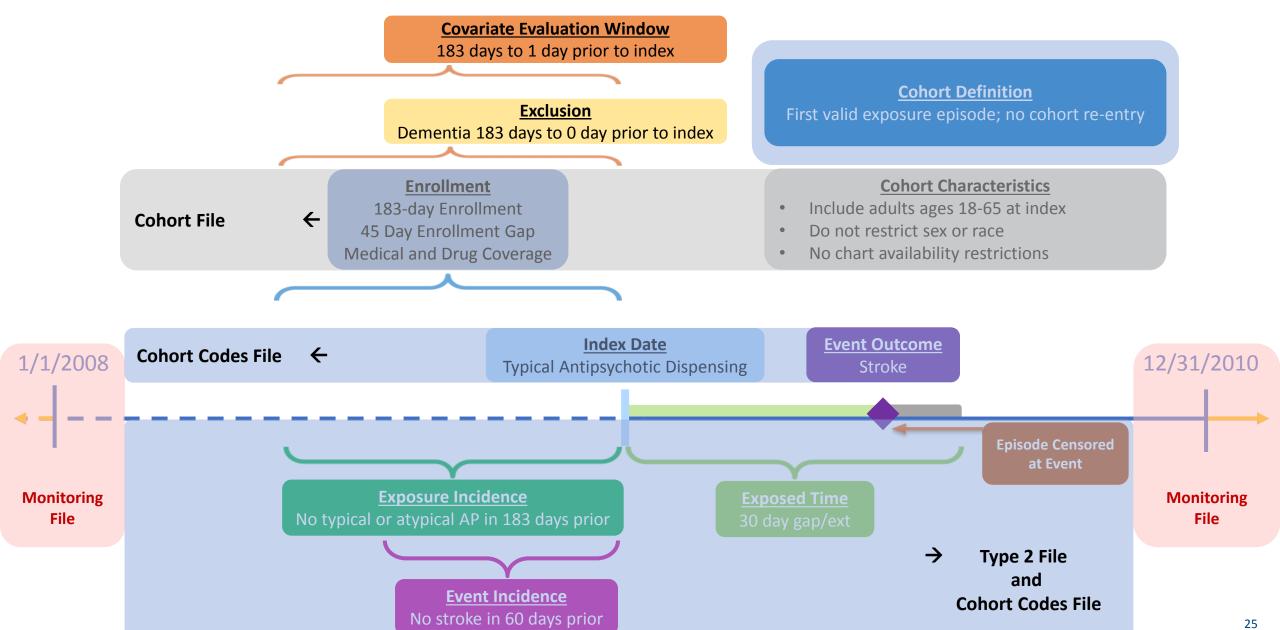
Inputs: Type 2 File





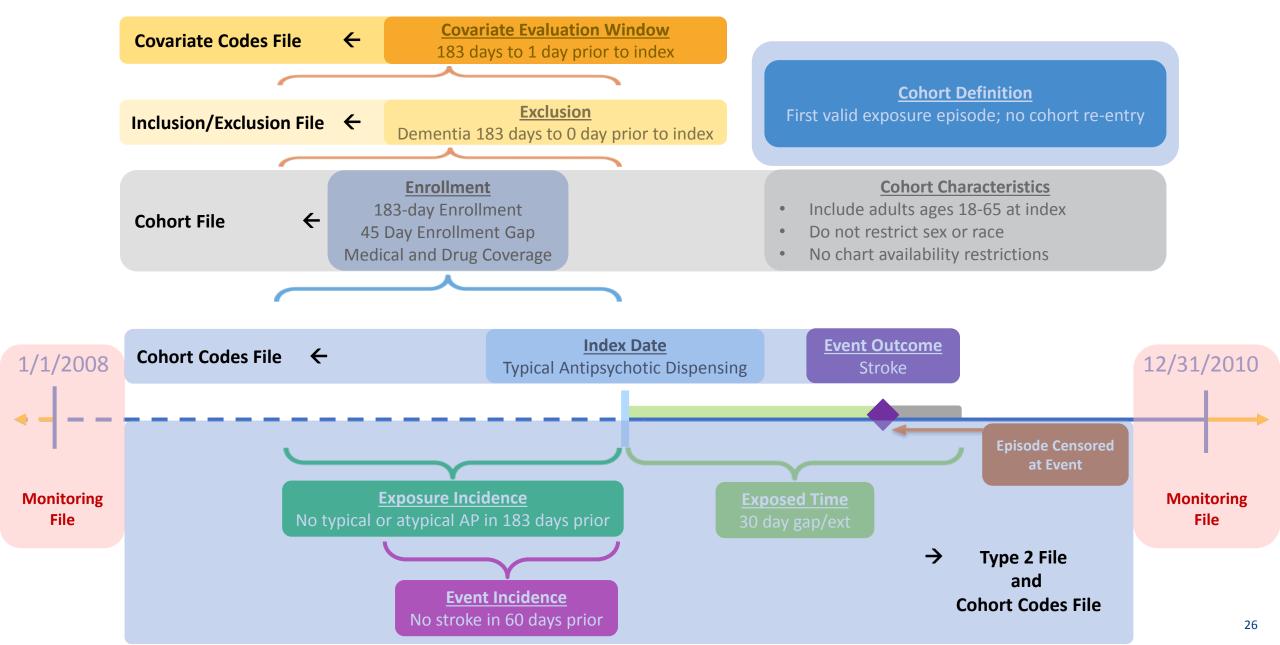
Inputs: Cohort Codes File





Inputs: Inclusion/Exclusion and Covariate Codes File





Step 2: Starting with the Parameter Files



Specifications

- Parameters
- Codes

Input Files



- Monitoring File*
- Cohort File
- Type File
- Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

Input file names



Master (SAS) Program

- Package location
- Dataset location

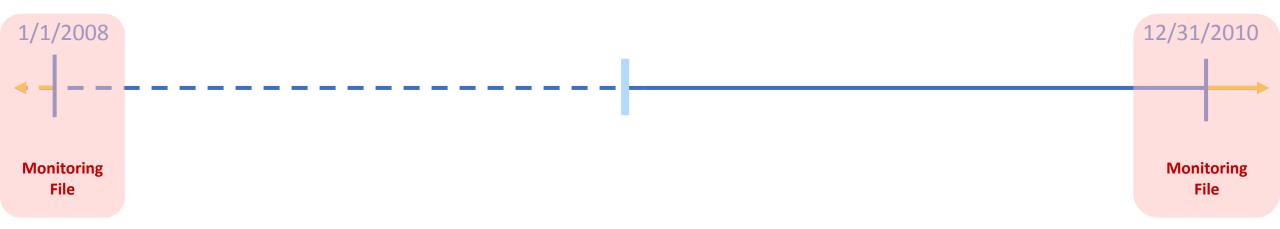




MONITORING FILE

PURPOSE: To establish the time period in which index dates can occur

PARAMETERS: 3



Exercise: Create Monitoring File



- Open 1_monitoring.sas program
- Assign library:%let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;

	periodid	startfollowup	enddate
1	1		

```
data out.&wpnum._monitoring;
    format periodid 8. startfollowup date9. enddate date9.;
    periodid = 1;
    startfollowup =" "d; /* Type the query start date in format "04APR2019"d */
    enddate = " "d; /* Type the query end date in format "04APR2019"d */
run;
```

Specifications – Defining Query Period



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Finished Monitoring File



	periodid	startfollowup	enddate
1	1	01JAN2008	31DEC2010

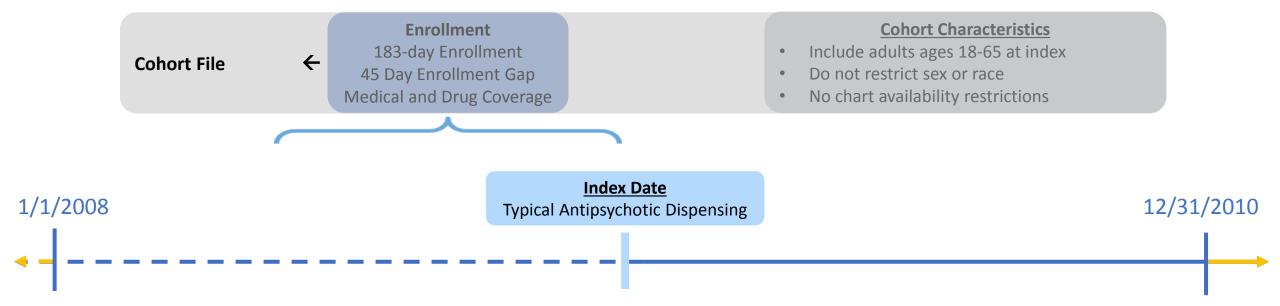
```
data out.&wpnum._monitoring;
    format periodid 8. startfollowup date9. enddate date9.;
    periodid = 1;
    startfollowup ="01JAN2008"d; /* Type the query start date in format "04APR2019"d */
    enddate = "31DEC2010"d; /* Type the query end date in format "04APR2019"d */
run;
```



COHORT FILE

PURPOSE: To define high level parameters for exposure-outcome cohorts

PARAMETERS: 16



Exercise: Create Cohort File



- Open 2_cohort.sas program
- Assign library: %let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;

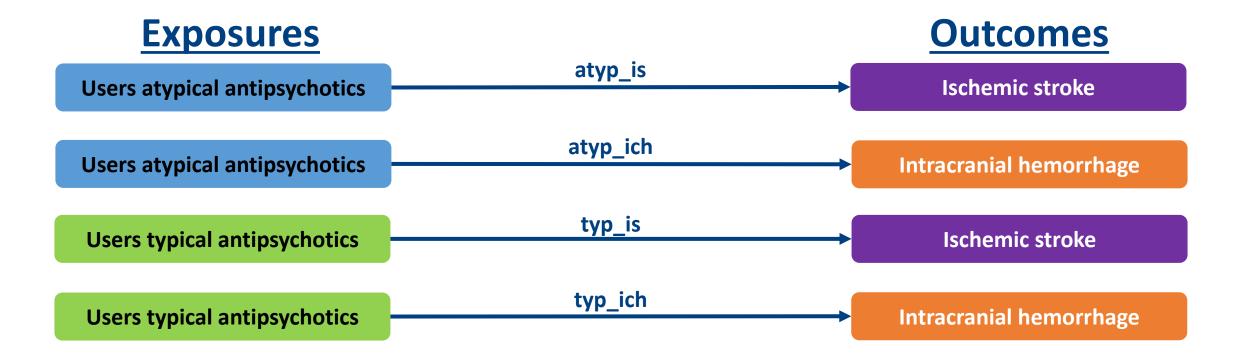
	cohortgrp	coverage	enrolgap	enrdays	enrdaysaftind	type1	type2	type3	type4	type5	type6	chartres	sex	race	hispanic	agestrat
1	typ_is											N				18-39 40-54 55-65
2	typ_ich											N				18-39 40-54 55-65
3	atyp_is											N				18-39 40-54 55-65
4	atyp_ich				-							N				18-39 40-54 55-65

How Many Scenarios/Groups do I Have?



Query purpose: to assess risk of <u>ischemic stroke</u> or <u>intracranial hemorrhage</u> among users of typical and atypical antipsychotics

2 exposure cohorts * 2 outcomes = 4 groups



Specifying Scenarios



	Exposure									
Group	Index Exposure	Cohort definition	Incident exposure washout period	Incident w/ respect to:	Treatment episode gap	Exposure episode extension	Minimum exposure episode duration	Minimum days supplied	Maximum exposure episode duration	Censor treatment episode at evidence of:
1 typ_IS	Typical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
2 typ_ICH	Typical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
3 atyp_IS	Atypical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
4 atyp_ICH	Atypical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics

Exercise: Create Cohort File



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

*Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

^{*} Global Parameters



```
data work.cht;
format cohortgrp $40. coverage $2. enrolgap 8. enrdays 8. enrdaysaftind 8. type1 $1. type2 $1. type3 $1. type4 $1. type5 $1. type5 $1.
        chartres $1. sex $3. race $1. hispanic $1. agestrat $100.;
cohortgrp = "&curr name";
coverage = ' '; /* Coverage Type Requirement; Valid values: 'MD' 'M' or 'D' for medical and drug, medical only, or drug only*/
enrolgap = . ; /* Enrollment Gap; Numerical */
enrdays = . ; /* Minimum Pre-Index Enrollment Days; Numerical*/
type1 = ' '; /* Type 1 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
type2 = ' '; /* Type 2 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
type3 = ' '; /* Type 3 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
type4 = ' '; /* Type 4 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
type5 = ' '; /* Type 5 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
type6 = ' '; /* Type 6 Cohort Identification Strategy Indicator; Valid values: 'Y' or 'N' */
sex = " "; /* Sex criteria to apply to cohort; Valid values: 'A' 'F' 'M' 'U'; Leave blank if no restrictions */
race = " "; /* Race criteria to apply to cohort; Leave blank if no restrictions */
hispanic = " "; /* Hispanic criteria to apply to cohort; Leave blank if no restrictions */
agestrat = '18-39 40-54 55-65';
enrdaysaftind = .;
chartres = 'N';
run;
```

Specifications – Stratifications and Demographics



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Finished Cohort File



	cohortgrp	coverage	enrolgap	enrdays	enrdaysaftind	type1	type2	type3	type4	type5	type6	chartres	sex	race	hispanic	agestrat
1	typ_is	MD	45	183		N	Υ	N	N	N	N	N				18-39 40-54 55-65
2	atyp_is	MD	45	183		N	Υ	N	N	N	N	N				18-39 40-54 55-65
3	typ_ich	MD	45	183		N	Υ	N	N	N	N	N				18-39 40-54 55-65
4	atyp_ich	MD	45	183		N	Y	N	N	N	N	N				18-39 40-54 55-65

<u>Tips</u>

- Match group names across all input files.
- Watch syntax around demographic restrictions.
 - Need to include single quotations around each letter
- Specify "Y" to 1 CIDA type per execution.



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TYPE 2 FILE

PURPOSE: To define exposure and follow-up time strategies, per cohort **PARAMETERS: 19 Cohort Definition** First valid exposure episode; no cohort re-entry **Index Date Event Outcome** 12/31/2010 1/1/2008 Typical Antipsychotic Dispensing Stroke **Episode Censored** at Event **Exposure Incidence** \rightarrow Type 2 File **Event Incidence**

No stroke in 60 days prior

Exercise: Create Type 2 File



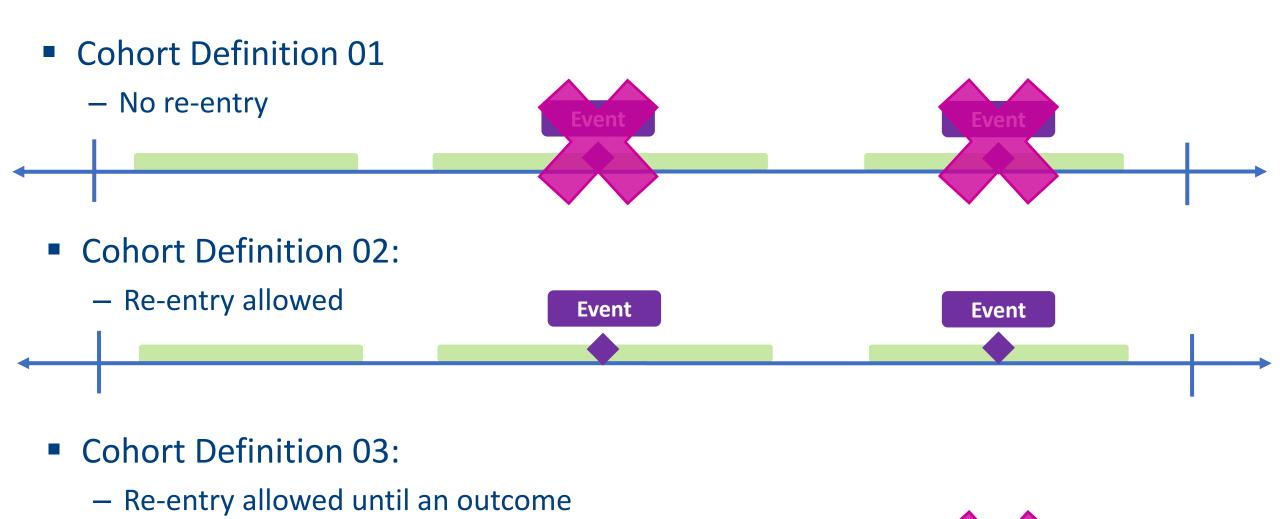
- Open 3_type.sas program
- Assign library:%let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;

	group	t2cohortdef	t2washper	ittdays	episodegaptype	episodegap	expextper	minepisdur	maxepisdur	mindaysupp	enrdaysaftepi
1	typ_is										
2	typ_ich										
3	atyp_is										
4	atyp_ich										

t2fupwash	er blackoutper	eventcount	censor_output_cat	censor_dth	censor_dpend	censor_qryend	neverexposedcohort
		2	0-364 365-729 730-1094 1095+				N
		2	0-364 365-729 730-1094 1095+				N
		2	0-364 365-729 730-1094 1095+				N
		2	0-364 365-729 730-1094 1095+				N

How Many Valid Index Dates? Cohort Definition





Event

Specifications – Groups



	Exposure									
Group	Index Exposure	Cohort definition	Incident exposure washout period	Incident w/ respect to:	Treatment episode gap	Exposure episode extension	Minimum exposure episode duration	Minimum days supplied	Maximum exposure episode duration	Censor treatment episode at evidence of:
1 typ_IS	Typical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
2 typ_ICH	Typical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
3 atyp_IS	Atypical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
4 atyp_ICH	Atypical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics

Specifications – Event Outcome



	Event Outcome						
Group	Event	Care setting	Principal diagnosis position	Event washout conditions	Event washout care setting	Event washout period	Blackout period
1 typ_IS	Ischemic stroke	Inpatient hospital stay	Principal	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1
2 typ_ICH	Intracranial hemorrhage	Inpatient hospital stay	Principal	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1
3 atyp_IS	Ischemic stroke	Inpatient hospital stay	Principal	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1
4 atyp_ICH	Intracranial hemorrhage	Inpatient hospital stay	Principal	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1

Exercise: Create Type 2 File



• Fill in type 2 file parameters in your **3_type.sas** program

```
data work.type;
format group $40. t2cohortdef $2. t2washper 8. ittdays 8. episodegaptype $1. episodegap 8. expextper 8.
      minepisdur 8. maxepisdur 8. mindaysupp 8. enrdaysaftepi 8. t2fupwashper 8. blackoutper 8. eventcount 8.
        censor output cat $30. censor dth $1. censor dpend $1. censor gryend $1. neverexposedcohort $1.;
group = "&curr name";
t2cohortdef = ' '; /* Allowed Number of Exposure Episodes per Individual;
                     Valid values: '01' '02' '03' for first episode only, all episodes, or all episodes until event*/
t2washper = . ; /* Type 2 Exposure Washout Period; Numerical; Required - enter 0 if not using */
ittdays = . ; /* Requester-defined Exposure Episode Length; Numerical; Leave blank if creating as-treated episodes */
episodegaptype= ' '; /* Treatment Episode Gap Type; Valid values: 'F' or 'P' for fixed or percent */
episodegap = . ; /* Exposure Episode Gap; Numerical; Required - enter 0 if not using */
expextper = . ; /* Exposure Episode Extension Period; Numerical; Optional */
minepisdur = . ; /* Minimum Exposure Episode Duration; Numerical; Required - enter 0 if not using */
maxepisdur = . ; /* Maximum Exposure Episode Duration; Numerical; Optional */
mindaysupp = . ; /* Minimum Days Supplied; Numerical; Required - enter 0 if not using */
t2fupwashper = . ; /* Type 2 HOI Washout Period; Numerical; Required - enter 0 if not using */
blackoutper = . ; /* HOI Blackout Period; Numerical; Required - enter 0 if not using */
censor dth = ' '; /* Censor Episodes at Evidence of Death; Valid values: 'Y' or 'N'; Required*/
censor dpend = ' '; /* Censor Episodes at Data End Date; Valid values: 'Y' or 'N'; Required*/
censor gryend = ' '; /* Censor Episodes at Query End Date; Valid values: 'Y' or 'N'; Required*/
censor output cat = '0-364 365-729 730-1094 1095+';
enrdaysaftepi = .;
eventcount = 2;
neverexposedcohort= 'N';
run;
```

Finished Type 2 File



	group	t2cohortdef	t2washper	ittdays	episodegaptype	episodegap	expextper	minepisdur	maxepisdur	mindaysupp	enrdaysaftepi
1	typ_is	01	183		F	30	30	1		1	
2	atyp_is	01	183		F	30	30	1		1	
3	typ_ich	01	183		F	30	30	1		1	
4	atyp_ich	01	183		F	30	30	1		1	

t2fupwashper	blackoutper	eventcount	censor_output_cat	censor_dth	censor_dpend	censor_qryend	neverexposedcohort
60	1	2	0-364 365-729 730-1094 1095+	Y	Υ	Υ	N
. 60	1	2	0-364 365-729 730-1094 1095+	Y	Υ	Υ	N
60	1	2	0-364 365-729 730-1094 1095+	Y	Υ	Υ	N
. 60	1	2	0-364 365-729 730-1094 1095+	Y	Υ	Υ	N

<u>Tips</u>

- A blackout period of 0 does not invoke blackout; a blackout period of '1' invokes blackout on day 0 (index date)
- Know whether your parameter is a timeline label or numeric quantity



USER-DEFINED STRATA FILE

PURPOSE: To define both the output tables that will be returned as well as the

stratifications of each output table

PARAMETERS: 3

Specifications – Stratifications



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Input Files by Type



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COHORT IDENTIFICATION AND DESCRIPTIVE ANALYSIS (CIDA) TOOL INPUT TOOLS

Calculate background rate (Type 1)	Exposures and follow-up time (Type 2)	Self-controlled risk interval (SCRI) design (Type 3)	Pregnancy episodes and identify medical product use (Type 4)	Medical product utilization (Type 5)	Manufacturer-level product utilization and switching patterns (Type 6)
Introduction					
Input Files					
Required					
Cohort File	Cohort File	Cohort File	Cohort File	Cohort File	Cohort File
Type 1 File	Type 2 File	Type 3 File	Type 4 File	Type 5 File	Type 6 File
Monitoring File	Monitoring File	Cohort Codes File	Monitoring File	Monitoring File	Monitoring File
Cohort Codes File	Cohort Codes File	User-defined Strata Levels Lookup Table	Cohort Codes File	Cohort Codes File	Cohort Codes File
User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table		User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table	User-defined Strata Levels Lookup Table
			Pregnancy Duration File		
Optional					
inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File	Inclusion/Exclusion Codes File
Covariate Codes File	Covariate Codes File	Covariate Codes File	Covariate Codes File	Covariate Codes File	Stockpiling File
Comorbidity Score File	Comorbidity Score File	Comorbidity Score File	Mother-Infant Cohort File	Comorbidity Score File	Treatment Pathways File
Utilization File	Utilization File	Utilization File	Comorbidity Score File	Utilization File	
• • •	• • •	•••	•••	• • •	•••

Lookup Valid Stratifications for a Type 2



Table 4. Valid Stratification Variables for a Type 2 Analysis (Exposure and Follow-up Time)

Variable Name	t2_cida	t2_censor
agegroup	Х	Х
cb_reg	Х	
censdays_value		Х
censdays_value_cat		X
covarn	Χ	
Event_Flag		Х
hhs_reg	Χ	
hispanic	Χ	
month	Χ	
race	X	
sex	Х	Х
state	Χ	
year	Х	Х
zip_uncertain	Х	
zip3	Χ	

* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Standard Strata Levels



Table 2. Standard Strata Level IDs

These stratfication levels apply for the following datasets: ([RUNID]_ prefix and sas7bdat extension removed): t1_cida, t2_cida,

LevelID	LevelVars		
000	blank		
001	year		
002	sex		
003	agegroup		
004	sex agegroup		
005	sex agegroup ye	ear	
006	sex agegroup ye	ear month	
007	agegroup year		
800	agegroup year i	month	
009	sex year		
010	sex year month		
011	year month		
020	zip3		

zip3 zip_uncertain

zip3 sex

021

022

	tableid	levelid	levelvars
1	t2cida	000	
2	t2cida	001	year
3	t2cida	002	sex
4	t2cida	003	agegroup
5	t2censor	701	censdays_value_cat

User-defined Strata File Overview



Specify which output tables should be produced and with which strata levels

```
data out.&wpnum. type2strata;
format tableid $20. levelid $3. levelvars $30.;
tableid = "t2cida";
levelid = "000";
levelvars = "";
output;
tableid = "t2cida";
levelid = "001";
levelvars = "year";
output;
tableid = "t2cida";
levelid = "002";
levelvars = "sex";
output;
tableid = "t2cida";
levelid = "003";
levelvars = "agegroup";
output;
tableid = "t2censor";
levelid = "701";
levelvars = "censdays value cat";
output;
run;
```

Step 2: Moving on to Code Files



Specifications

- Parameters
- Codes

Input Files

- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

• Input file names



- Package location
- Dataset location

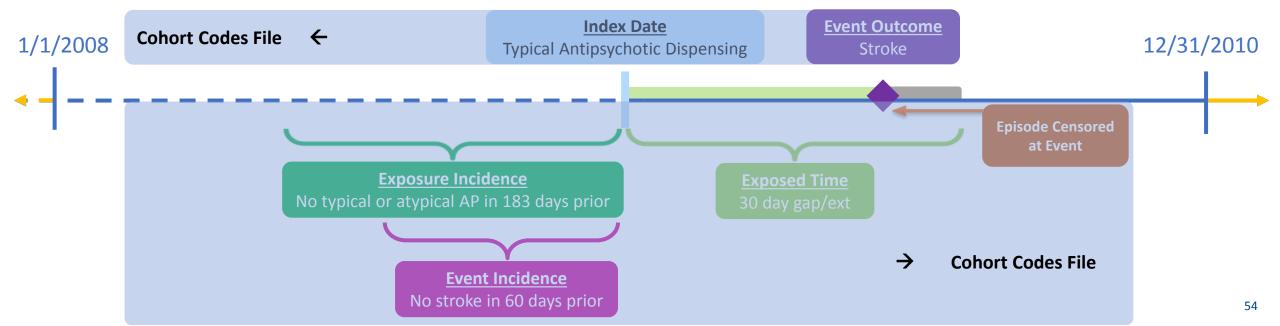




COHORT CODES FILE

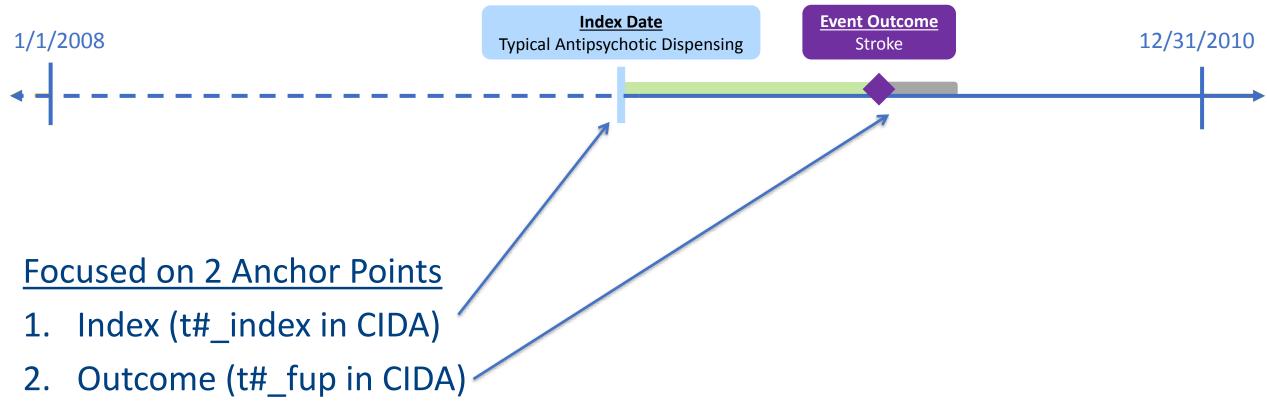
PURPOSE: Assignment of roles to relevant codes for roles in cohort identification

PARAMETERS: 23



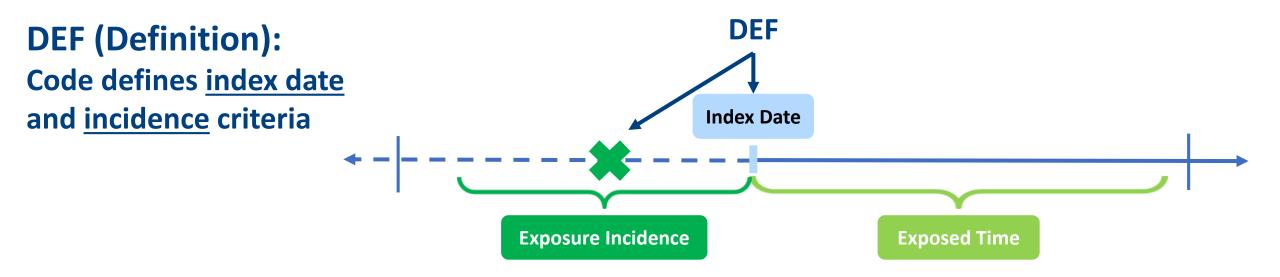


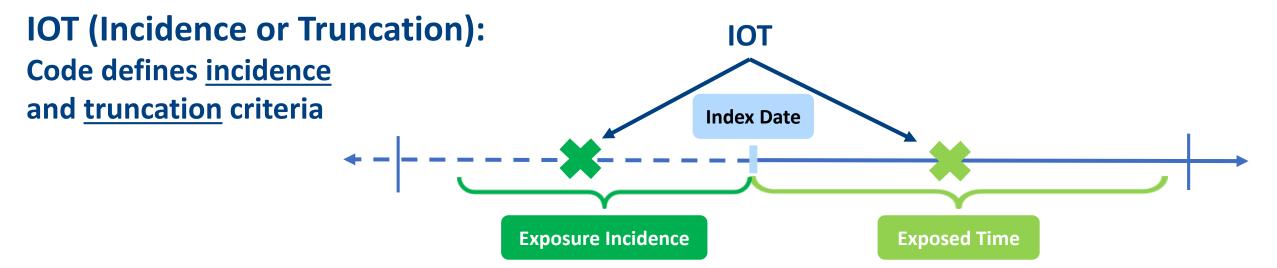
- Open 5_cohortcodes.sas program
- Assign library:%let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;



Cohort Codes File – Parameter T2_INDEX

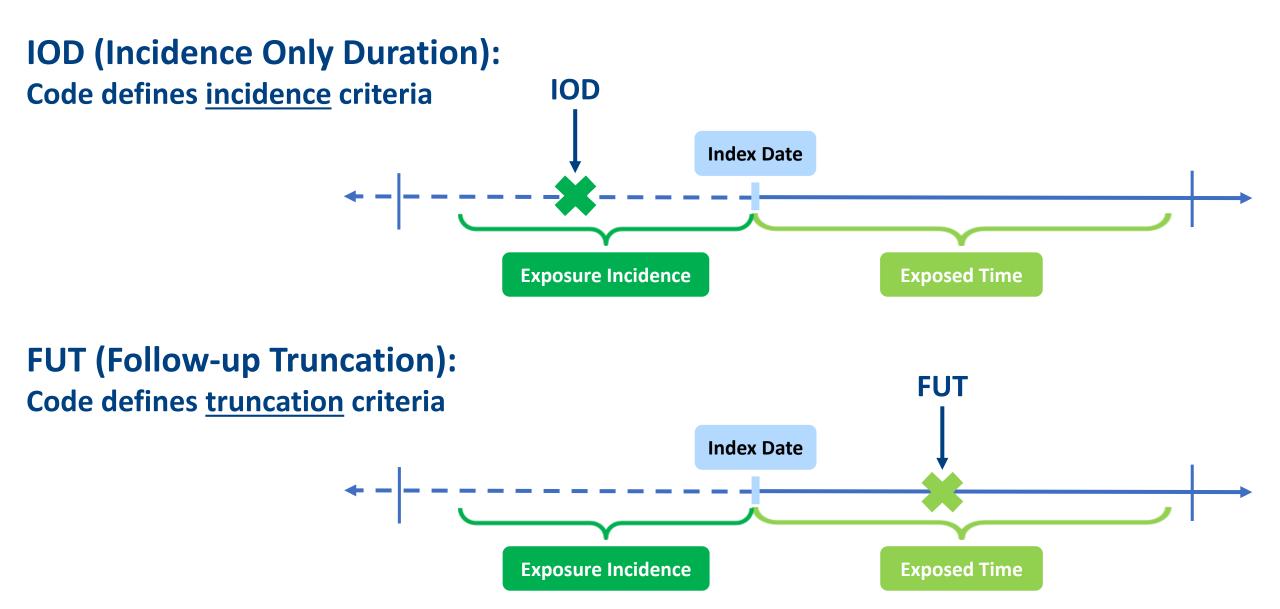






Cohort Codes File – Parameter T2_INDEX





Cohort Codes File – Specifications

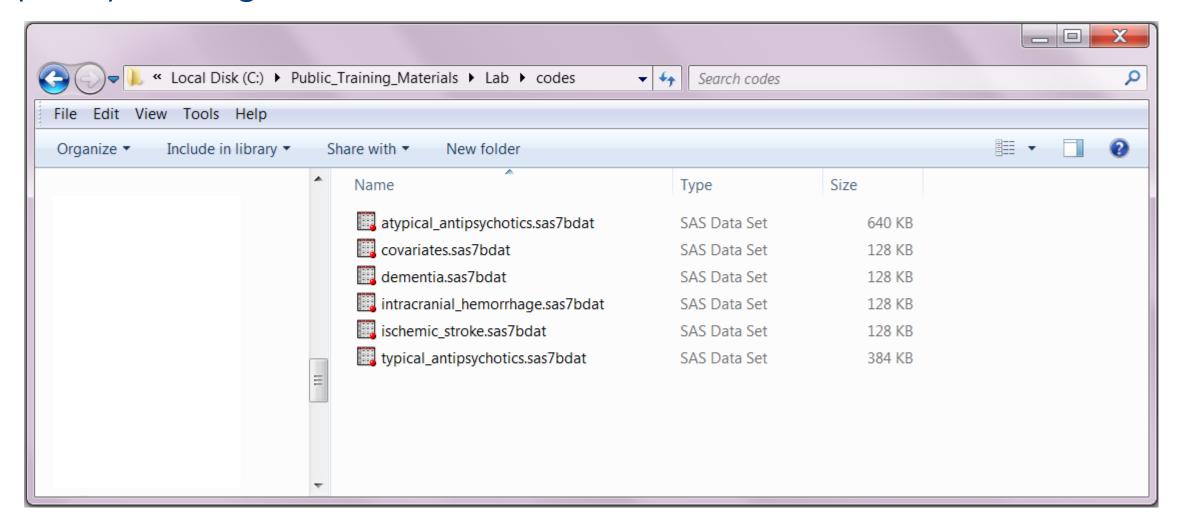


	Exposure									
Group	Index Exposure	Cohort definition	Incident exposure washout period	Incident w/ respect to:	Treatment episode gap	Exposure episode extension	Minimum exposure episode duration	Minimum days supplied	Maximum exposure episode duration	
1 typ_IS	Typical Antipsychotics	First valid exposure episode during query period	183 days	Typical and atypical antipsychotics	30 days	30 days	1	1	None	Death; DP end date; Query end date; Atypical antipsychotics
2 typ_ICH	Ant tics	First valid exposure episode during query period	183 days	Typical typical and ics	30 days	30 days	1	1	None	Death; DP end of Query Atypical a psychotics
3 atyp_IS	At cal Antip notics	First valid exposure episode during query period	183 days	Typical atypical antip notics	30 days	30 days	1	1	None	Death; DP end da Query en te; Atypical a psychotics
4 atyp_ICH	Index	First valid exposure episode during query period	183 days	Incidence	30 days	30 days	1	1	None	Censoring Characteristics

Cohort Codes File – Inputs



 Input code lists to define exposure and outcome using National Drug Codes (NDCs) and diagnosis codes





Group	t2_index = DEF	t2_index = IOT
typ_is	typical_antipsychotics	
typ_ich		
atyp_is		
atyp_ich		

Code List Key				
Clinical Concept	SAS Dataset with Codes			
Typical antipsychotics	typical_antipsychotics			
Atypical antipsychotics	atypical_antipsychotics			
Ischemic stroke	ischemic_stroke			
Intracranial hemorrhage	intracranial_hemorrhage			



Set index codes in your 5_cohortcodes.sas program

```
%macro indexcodes (title, group, codes);
   data indexcodes&title.:
   format group $40. stockgroup $30. codecat $2. codetype $3. code1 $11. code $11. caresettingprincipal $41.
          t1 index $3. t2 index $3. t2 fup $3. conc fup $3. t3 index $3. t3 fup $3. t4 index $3. t4 fup $3. t5 index $3. t6 index $3.
          productapprovaldate Date9. productmarketingdate Date9. otherproductdate Date9. excludesupply $1. codesupply 8.
          rawlabdatetype $3. rawlabresult $3.;
   set in. & codes.;
   group = "&group.";
   stockgroup = compress (descrip, ', ./\ -<>=; &[](){}%');
   codecat = CodeCat1;
   codetype = CodeType1;
   code = compress (code1, ".");
   caresettingprincipal = " ";
   t1 index = 'NOT';
   t2 index = 'DEF'; /*When defining index-defining codes for exposure, t2 index must = 'DEF' */
   t2 fup = 'NOT'; /*When defining exposure, t2 fup must = 'NOT' */
%mend;
                                                      /*Fill in group 1 name and code list to define index exposure */
%indexcodes (1,typ is, typical antipsychotics);
%indexcodes (2,typ ich, typical antipsychotics);
                                                      /*Fill in group 2 name and code list to define index exposure */
%indexcodes (3, atyp is, atypical antipsychotics); /*Fill in group 3 name and code list to define index exposure */
%indexcodes (4, atyp ich, atypical antipsychotics); /*Fill in group 4 name and code list to define index exposure */
```

%macro inc trunc codes (title,group,codes);



Set incidence-defining codes in your 5_cohortcodes.sas program

```
data inc trunc codes&title.;
    format group $40. stockgroup $30. codecat $2. codetype $3. code1 $11. code $11. caresettingprincipal $41.
           t1 index $3. t2 index $3. t2 fup $3. conc fup $3. t3 index $3. t3 fup $3. t4 index $3. t4 fup $3. t5 index $3. t6 index $3.
           productapprovaldate Date9. productmarketingdate Date9. otherproductdate Date9. excludesupply $1. codesupply 8.
           rawlabdatetype $3. rawlabresult $3.;
    set in. & codes.;
    group = "&group.";
    stockgroup = compress (descrip, ', ./\ -<>=;&[](){}%');
    codecat = CodeCat1;
    codetype = CodeType1;
    code = compress (code1, ".");
    caresettingprincipal = " ";
    t1 index = 'NOT';
    t2 index = 'IOT'; /*When defining incidence and truncation criteria for exposure, t2 index should = 'IOT' */
    t2 fup = 'NOT'; /*When defining exposure, t2 fup must = 'NOT' */
%mend;
%inc trunc codes (1, typ is, atypical antipsychotics); /*Fill in group 1 name and code list to define incidence and truncation criteria */
%inc trunc codes (2, typ ich, atypical antipsychotics); /*Fill in group 2 name and code list to define incidence and truncation criteria */
```

%inc_trunc_codes (3,atyp_is, typical_antipsychotics); /*Fill in group 3 name and code list to define incidence and truncation criteria */
%inc trunc codes (4,atyp ich, typical antipsychotics); /*Fill in group 4 name and code list to define incidence and truncation criteria */



Group	t2_index = DEF	t2_index = IOT	t2_fup = DEF	t2_fup = IOC
typ_is	typical_antipsychotics	atypical_antipsychotics		
typ_ich	typical_antipsychotics	atypical_antipsychotics		
atyp_is	atypical_antipsychotics	typical_antipsychotics		
atyp_ich	atypical_antipsychotics	typical_antipsychotics		

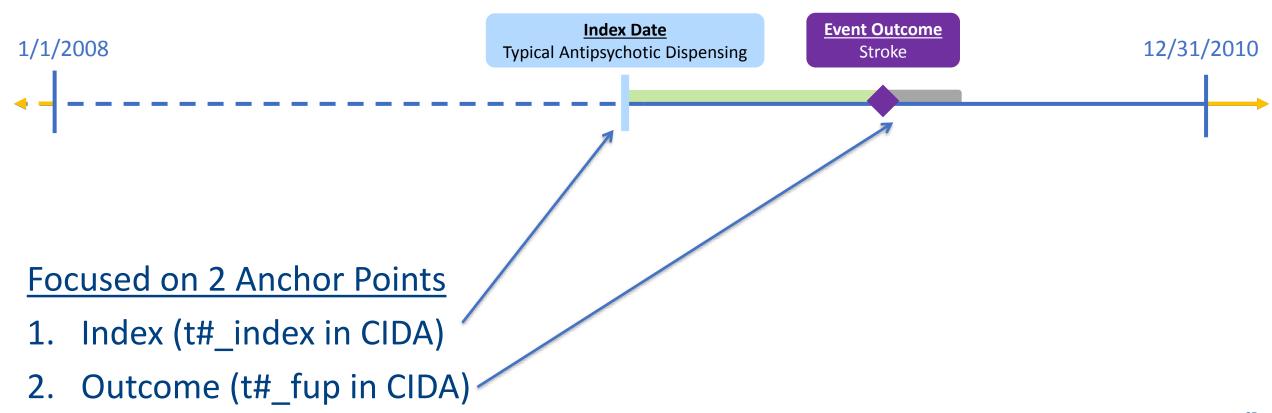
Code List Key				
Clinical Concept	SAS Dataset with Codes			
Typical antipsychotics	typical_antipsychotics			
Atypical antipsychotics	atypical_antipsychotics			
Ischemic stroke	ischemic_stroke			
Intracranial hemorrhage	intracranial_hemorrhage			

Cohort Codes File - Sample



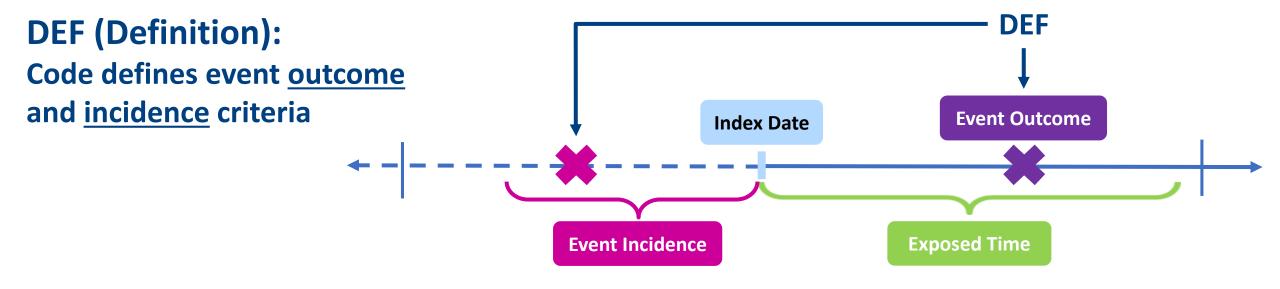
	group	stockgroup	codecat	codetype	code	caresettingprincipal	t2_index	t2_fup
1	typ_is	FLUPHENAZINEHCL	RX	11	00003080110		DEF	NOT
2	typ_is	FLUPHENAZINEHCL	RX	11	00003082030		DEF	NOT
3	typ_is	FLUPHENAZINEHCL	RX	11	00003082050		DEF	NOT
4	typ_is	FLUPHENAZINEHCL	RX	11	00003086350		DEF	NOT
5	typ_is	FLUPHENAZINEHCL	RX	11	00003087750		DEF	NOT
6	typ_is	FLUPHENAZINEHCL	RX	11	00003095650		DEF	NOT
7	typ_is	CHLORPROMAZINEHCL	RX	11	00007506315		DEF	NOT
8	typ_is	CHLORPROMAZINEHCL	RX	11	00007506415		DEF	NOT
9	typ_is	CHLORPROMAZINEHCL	RX	11	00007506615		DEF	NOT
10	typ_is	CHLORPROMAZINEHCL	RX	11	00007507244		DEF	NOT
11	typ_is	CHLORPROMAZINEHCL	RX	11	00007507320		DEF	NOT
12	typ_is	CHLORPROMAZINEHCL	RX	11	00007507420		DEF	NOT
13	typ_is	CHLORPROMAZINEHCL	RX	11	00007507430		DEF	NOT
14	typ_is	CHLORPROMAZINEHCL	RX	11	00007507620		DEF	NOT
15	typ_is	CHLORPROMAZINEHCL	RX	11	00007507630		DEF	NOT
16	typ_is	CHLORPROMAZINEHCL	RX	11	00007507720		DEF	NOT
17	typ_is	CHLORPROMAZINEHCL	RX	11	00007507730		DEF	NOT
18	typ_is	CHLORPROMAZINEHCL	RX	11	00007507920		DEF	NOT
19	typ_is	CHLORPROMAZINEHCL	RX	11	00007507930		DEF	NOT
20	typ_is	HALOPERIDOLLACTATE	RX	11	00045025004		DEF	NOT

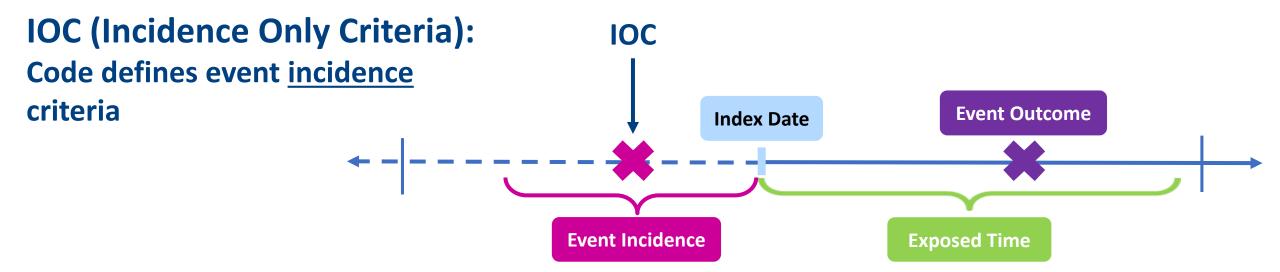




Cohort Codes File – Parameter T2_FUP







Cohort Codes File – Specifications



	Event Outcome						
Group	Event	Care setting	Principal diagnosis position	Event washout conditions	Event washout care setting	Event washout period	Blackout period
1 typ_IS	Ischemic stroke	Inpatient hospital stay	Principal	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1
2 typ_ICH	Intracranial hemorrhage	Inpatient hospital stay	Principa	Stroke (ischemic stroke and intracranial hemorrhage)	Any care setting	60	1
3 atyp_IS	Ischemic stroke	Inpatient hospital stay	Principal	Stroke (ischemic stroke and acranial hemorrhage)	Any care setting	60	1
4 atyp_ICH	Intracranial hemorrhage	Inpatient hospital stay	Outcor Pri <mark>ncipal</mark>	Stroke (schemic stroke and intracranial hemorrhage)	Any care setting	60	1



Set outcome-defining codes in your 5_cohortcodes.sas program

```
%macro outcomecodes (title,group,codes);
   data outcomecodes&title.:
   format group $40. stockgroup $30. codecat $2. codetype $3. code1 $11. code $11. caresettingprincipal $41.
          t1 index $3. t2 index $3. t2 fup $3. conc fup $3. t3 index $3. t3 fup $3. t4 index $3. t4 fup $3. t5 index $3. t6 index $3.
          productapprovaldate Date9. productmarketingdate Date9. otherproductdate Date9. excludesupply $1. codesupply 8.
          rawlabdatetype $3. rawlabresult $3.;
   set in. & codes .;
   group = "&group.";
   stockgroup = compress (descrip, ', ./\ -<>=;&[](){}%');
   codecat = CodeCat1;
   codetype = CodeType1;
   code = compress (code1, ".");
   caresettingprincipal = "'IPP'";
   t1 index = 'NOT';
   t2 index = 'NOT'; /*When defining outcome, t2 index must = 'NOT' */
   t2 fup = 'DEF'; /*When defining outcome, t2 fup must = 'DEF' */
%mend;
%outcomecodes (1, typ is, ischemic stroke);
                                                         /*Fill in group 1 name and code list to define outcome */
%outcomecodes (2, typ ich, intracranial hemorrhage); /*Fill in group 2 name and code list to define outcome */
%outcomecodes (3, atyp is, ischemic stroke);
                                                  /*Fill in group 3 name and code list to define outcome */
%outcomecodes (4,atyp ich, intracranial hemorrhage); /*Fill in group 4 name and code list to define outcome */
```



Set outcome incidence-defining codes in your 5_cohortcodes.sas program

```
%macro outcomeinccodes (title, group, codes);
   data outcomeinccodes&title.;
   format group $40. stockgroup $30. codecat $2. codetype $3. code1 $11. code $11. caresettingprincipal $41.
          t1 index $3. t2 index $3. t2 fup $3. conc fup $3. t3 index $3. t3 fup $3. t4 index $3. t4 fup $3. t5 index $3. t6 index $3.
          productapprovaldate Date9. productmarketingdate Date9. otherproductdate Date9. excludesupply $1. codesupply 8.
          rawlabdatetype $3. rawlabresult $3.;
   set in. & codes .:
   group = "&group.";
   stockgroup = compress (descrip, ', ./\ -<>=; &[](){}%');
   codecat = CodeCat1;
   codetype = CodeType1;
   code = compress (code1, ".");
   caresettingprincipal = " ";
   t1 index = 'NOT';
   t2 index = 'NOT'; /*When defining outcome incidence, t2 index must = 'NOT' */
   t2 fup = 'IOC'; /*When defining outcome incidence, t2 fup must = 'IOC' */
%mend;
%outcomeinccodes (1, typ is, intracranial hemorrhage); /*Fill in group 1 name and code list to define outcome incidence*/
%outcomeinccodes (2, typ ich, ischemic stroke);
                                                         /*Fill in group 2 name and code list to define outcome incidence */
%outcomeinccodes (3, atyp is, intracranial hemorrhage); /*Fill in group 3 name and code list to define outcome incidence */
%outcomeinccodes (4, atyp ich, ischemic stroke);
                                                          /*Fill in group 4 name and code list to define outcome incidence*/
```



Group	t2_index = DEF	t2_index = IOT	t2_fup = DEF	t2_fup = IOC
typ_is	typical_antipsychotics	atypical_antipsychotics		
typ_ich	typical_antipsychotics	atypical_antipsychotics		
atyp_is	atypical_antipsychotics	typical_antipsychotics		
atyp_ich	atypical_antipsychotics	typical_antipsychotics		

Code List Key				
Clinical Concept	SAS Dataset with Codes			
Typical antipsychotics	typical_antipsychotics			
Atypical antipsychotics	atypical_antipsychotics			
Ischemic stroke	ischemic_stroke			
Intracranial hemorrhage	intracranial_hemorrhage			



Group	t2_index = DEF	t2_index = IOT	t2_fup = DEF	t2_fup = IOC
typ_is	typical_antipsychotics	atypical_antipsychotics	ischemic_stroke	
typ_ich	typical_antipsychotics	atypical_antipsychotics		
atyp_is	atypical_antipsychotics	typical_antipsychotics		
atyp_ich	atypical_antipsychotics	typical_antipsychotics		

Code List Key				
Clinical Concept	SAS Dataset with Codes			
Typical antipsychotics	typical_antipsychotics			
Atypical antipsychotics	atypical_antipsychotics			
Ischemic stroke	ischemic_stroke			
Intracranial hemorrhage	intracranial_hemorrhage			



Group	t2_index = DEF	t2_index = IOT	t2_fup = DEF	t2_fup = IOC
typ_is	typical_antipsychotics	atypical_antipsychotics	ischemic_stroke	intracranial_hemorrhage
typ_ich	typical_antipsychotics	atypical_antipsychotics	intracranial_hemorrhage	ischemic_stroke
atyp_is	atypical_antipsychotics	typical_antipsychotics	ischemic_stroke	intracranial_hemorrhage
atyp_ich	atypical_antipsychotics	typical_antipsychotics	intracranial_hemorrhage	ischemic_stroke

Code List Key				
Clinical Concept	SAS Dataset with Codes			
Typical antipsychotics	typical_antipsychotics			
Atypical antipsychotics	atypical_antipsychotics			
Ischemic stroke	ischemic_stroke			
Intracranial hemorrhage	intracranial_hemorrhage			

Finished Cohort Codes File - Sample



	group	stockgroup	codecat	codetype	code	caresettingprincipal	t2_index	t2_fup
12069	typ_is	Ischemicstroke	DX	09	43301	'IPP'	NOT	DEF
12070	typ_is	Ischemicstroke	DX	09	43311	'IPP'	NOT	DEF
12071	typ_is	Ischemicstroke	DX	09	43321	'IPP'	NOT	DEF
12072	typ_is	Ischemicstroke	DX	09	43331	'IPP'	NOT	DEF
12073	typ_is	Ischemicstroke	DX	09	43381	'IPP'	NOT	DEF
12074	typ_is	Ischemicstroke	DX	09	43391	'IPP'	NOT	DEF
12075	typ_is	Ischemicstroke	DX	09	43401	'IPP'	NOT	DEF
12076	typ_is	Ischemicstroke	DX	09	43411	'IPP'	NOT	DEF
12077	typ_is	Ischemicstroke	DX	09	43491	'IPP'	NOT	DEF
12078	typ_is	Ischemicstroke	DX	09	436	'IPP'	NOT	DEF
12079	typ_ich	Intracranialhemorrhage	DX	09	430	'IPP'	NOT	DEF
12080	typ_ich	Intracranialhemorrhage	DX	09	431	'IPP'	NOT	DEF
12081	atyp_is	Ischemicstroke	DX	09	43301	'IPP'	NOT	DEF
12082	atyp_is	Ischemicstroke	DX	09	43311	'IPP'	NOT	DEF
12083	atyp_is	Ischemicstroke	DX	09	43321	'IPP'	NOT	DEF
12084	atyp_is	Ischemicstroke	DX	09	43331	'IPP'	NOT	DEF
12085	atyp_is	Ischemicstroke	DX	09	43381	'IPP'	NOT	DEF
12086	atyp_is	Ischemicstroke	DX	09	43391	'IPP'	NOT	DEF
12087	atyp_is	Ischemicstroke	DX	09	43401	'IPP'	NOT	DEF
12088	atyp_is	Ischemicstroke	DX	09	43411	'IPP'	NOT	DEF
12089	atyp_is	Ischemicstroke	DX	09	43491	'IPP'	NOT	DEF
12090	atyp_is	Ischemicstroke	DX	09	436	'IPP'	NOT	DEF
12091	atyp_ich	Intracranialhemorrhage	DX	09	430	'IPP'	NOT	DEF
12092	atyp_ich	Intracranialhemorrhage	DX	09	431	'IPP'	NOT	DEF

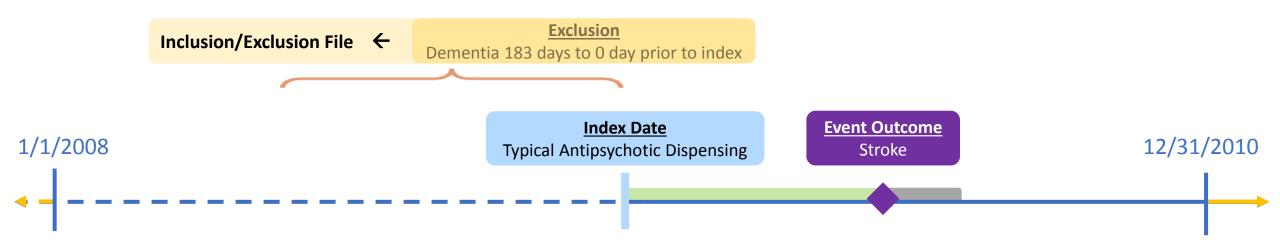


INCLUSION/EXCLUSION CODES FILE

PURPOSE: Assignment of roles to relevant codes for restriction of cohort due to

inclusion/exclusion criteria

PARAMETERS: 19



Exercise: Create Inclusion/Exclusion Codes File



- Open 6_exclusion.sas program
- Assign library:%let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;

```
data work.excl;
format group $40. stockgroup $30. caresettingprincipal $30. code $11. codecat $2. codetype $3.
       condinclusion 8. subcondinclusion 8. condlevel $30. subcondlevel $30.
       condfrom 8. condto 8. codedays 8. codesupply 8. excludesupply $1.
       codepop $2. indexdate $30. rawlabdatetype $3. rawlabresult $30.;
set in.dementia:
group = "&curr name";
stockgroup = compress (descrip, ", .// -<>=;&[]'(){}%");
caresettingprincipal = " ";
code = compress (code1, ' .// -()%');
codecat = codecat1;
codetype = codetype1;
condinclusion = .; /* Condition Exclusion Indicator; Numerical; Valid values: 0 or 1 to exclude or include if evidence of condition;
condlevel = " "; /* Name of inclusion/exclusion condition; Required*/
condfrom = . ; /* Evaluation Period Start; Numerical; Required */
condto = . ; /* Evaluation Period End; Numerical; Required */
codedays = . ; /* Indicates number of instances the criteria should be found in evaluation period; Numerical; Required */
```

Inclusion/Exclusion Codes – Specifications



	Inclusion/Exclusion	Criteria					
Group	Inclusion/ exclusion group	Criteria	Care setting	Principal diagnosis position	Evaluation period start	Evaluation period end	Number of instances the criteria should be found in evaluation period
1 typ_IS	Dementia	Exclude	Any care setting	Any position	-183	0	1
2 typ_ICH	Dementia	Exclude	Any care setting	Any position	-183	0	1
3 atyp_IS	Dementia	Exclude	Any care setting	Any position	-183	0	1
4 atyp_ICH	Dementia	Exclude	Any care setting	Any position	-183	0	1

Finished Inclusion/Exclusion Codes File Sample



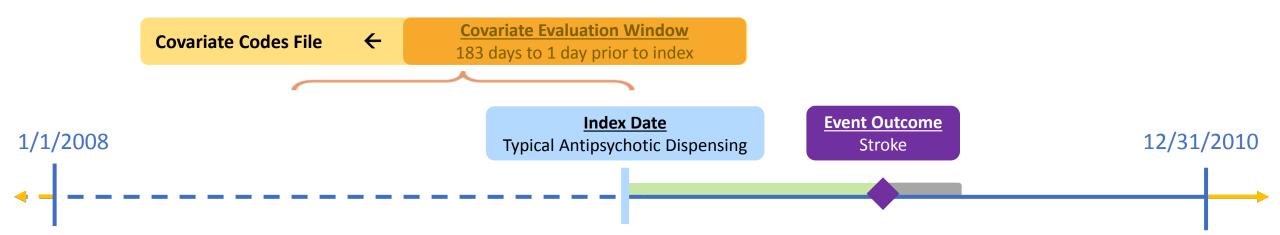
	group	stockgroup	caresettingprincipal	code	codecat	codetype	condinclusion	subcondinclusion	condlevel	subcondlevel	condfrom	condto	codedays
1	typ_is	Seniledementiauncomplicated		2900	DX	09	0	1	dementia	dementia	-183	0	1
2	typ_is	Preseniledementiauncomplicated		29010	DX	09	0	1	dementia	dementia	-183	0	1
3	typ_is	Preseniledementiawithdelirium		29011	DX	09	0	1	dementia	dementia	-183	0	1
4	typ_is	Preseniledementiawithdelusiona		29012	DX	09	0	1	dementia	dementia	-183	0	1
5	typ_is	Preseniledementiawithdepressiv		29013	DX	09	0	1	dementia	dementia	-183	0	1
6	typ_is	Seniledementiawithdelusionalfe		29020	DX	09	0	1	dementia	dementia	-183	0	1
7	typ_is	Seniledementiawithdepressivefe		29021	DX	09	0	1	dementia	dementia	-183	0	1
8	typ_is	Seniledementiawithdelirium		2903	DX	09	0	1	dementia	dementia	-183	0	1
9	typ_is	Vasculardementiauncomplicated		29040	DX	09	0	1	dementia	dementia	-183	0	1
10	typ_is	Vasculardementiawithdelirium		29041	DX	09	0	1	dementia	dementia	-183	0	1
11	typ_is	Vasculardementiawithdelusions		29042	DX	09	0	1	dementia	dementia	-183	0	1
12	typ_is	Vasculardementiawithdepressedm		29043	DX	09	0	1	dementia	dementia	-183	0	1
13	typ_is	Amnesticdisorderinconditionscl		2940	DX	09	0	1	dementia	dementia	-183	0	1
14	typ_is	Dementiainconditionsclassified		29410	DX	09	0	1	dementia	dementia	-183	0	1
15	typ_is	Dementiainconditionsclassified		29411	DX	09	0	1	dementia	dementia	-183	0	1
16	typ_is	Dementiaunspecifiedwithoutbeha		29420	DX	09	0	1	dementia	dementia	-183	0	1
17	typ_is	Dementiaunspecifiedwithbehavio		29421	DX	09	0	1	dementia	dementia	-183	0	1
18	typ_is	Otherpersistentmentaldisorders		2948	DX	09	0	1	dementia	dementia	-183	0	1
19	typ_is	Alzheimersdisease	;	3310	DX	09	0	1	dementia	dementia	-183	0	1
20	typ_is	Picksdisease	;	33111	DX	09	0	1	dementia	dementia	-183	0	1
21	typ_is	Otherfrontotemporaldementia	;	33119	DX	09	0	1	dementia	dementia	-183	0	1
22	typ_is	Seniledegenerationofbrain	:	3312	DX	09	0	1	dementia	dementia	-183	0	1
23	typ_is	Cerebraldegenerationindiseases	:	3317	DX	09	0	1	dementia	dementia	-183	0	1
24	typ_is	Senilitywithoutmentionofpsycho		797	DX	09	0	1	dementia	dementia	-183	0	1



COVARIATE CODES FILE

PURPOSE: Assignment of codes for evaluation of covariates, relative to the exposure index

PARAMETERS: 13



Covariate Codes – Overview



- NDCs, ICD procedure and diagnosis codes, and HCPCS codes can be used in any combination and can be restricted to specific care settings and diagnosis code positions
- All cohorts (GROUPs) included in a CIDA tool execution will extract information for the same covariates
- Covariates can be differentiated for description-only versus description and inferential adjustment

Exercise: Create Covariate Codes File



```
data out. & wpnum. covariates;
   format studyname $50. covarnum 8. code $50. stockgroup $30. codecat $2. codetype $3.
           caresettingprincipal $30. covfrom 8. covto 8. keep 8. codedays 8. codesupply 8. excludesupply $1.;
    set in.covariates;
        if studyname = 'AMI' then covarnum=1;
        if studyname = 'Diabetes' then covarnum=2;
        if studyname = 'Heart failure' then covarnum=3;
        if studyname = 'Hypercholesterolemia' then covarnum=4;
        if studyname = 'Hypertension' then covarnum=5;
        if studyname = 'Kidney failure' then covarnum=6;
        if studyname = 'Depression' then covarnum=7;
        if studyname = 'Anxiety' then covarnum=8;
        if studyname = 'Bipolar' then covarnum=9;
        if studyname = 'Schizophrenia/psychotic' then covarnum=10;
        if studyname = 'Substance abuse' then covarnum=11;
        if studyname = 'Transient ischemic attack' then covarnum=12;
stockgroup = compress (studyname, ", './{};[] -()%");
studyname = studyname;
codecat = codecat1;
codetype = codetype1;
code = compress (code1, '.');
caresettingprincipal = " ";
covfrom = -183;
covto = -1;
keep = 0;
codedays = 1;
codesupply = .;
excludesupply = "";
drop codecat1 codetype1 code1;
run;
```

Specifications – Covariates



Covariates

Covariate	Care setting	Principal diagnosis position	Evaluation period start	Evaluation period end	Number of instances the covariate should be found in evaluation period
Acute myocardial infarction	Any	Any	-183	-1	1
Diabetes	Any	Any	-183	-1	1
Heart failure	Any	Any	-183	-1	1
Hypercholesterolemia	Any	Any	-183	-1	1
Hypertension	Any	Any	-183	-1	1
Kidney failure	Any	Any	-183	-1	1
Transient ischemic attack	Any	Any	-183	-1	1
Depression	Any	Any	-183	-1	1
Anxiety	Any	Any	-183	-1	1
Bipolar	Any	Any	-183	-1	1
Schizophrenia/psychotic disorder	Any	Any	-183	-1	1
Substance abuse	Any	Any	-183	-1	1

Finished Covariate Codes File



	studyname	covarnum	code	stockgroup	codecat	codetype	caresettingprincipal	covfrom	covto	keep	codedays	codesupply	excludesupply
1	AMI	1	4100	AMI	DX	09		-183	-1	0	1		
2	AMI	1	410	AMI	DX	09		-183	-1	0	1		
3	AMI	1	41000	AMI	DX	09		-183	-1	0	1		
4	AMI	1	41001	AMI	DX	09		-183	-1	0	1		
5	AMI	1	41002	AMI	DX	09		-183	-1	0	1		
6	AMI	1	4101	AMI	DX	09		-183	-1	0	1		
7	AMI	1	41010	AMI	DX	09		-183	-1	0	1		
8	AMI	1	41011	AMI	DX	09		-183	-1	0	1		
9	AMI	1	41012	AMI	DX	09		-183	-1	0	1		
10	AMI	1	4102	AMI	DX	09		-183	-1	0	1		
11	AMI	1	41020	AMI	DX	09		-183	-1	0	1		
12	AMI	1	41021	AMI	DX	09		-183	-1	0	1		
13	AMI	1	41022	AMI	DX	09		-183	-1	0	1		
14	AMI	1	41030	AMI	DX	09		-183	-1	0	1		
15	AMI	1	4103	AMI	DX	09		-183	-1	0	1		
16	AMI	1	41031	AMI	DX	09		-183	-1	0	1		
17	AMI	1	41032	AMI	DX	09		-183	-1	0	1		
18	AMI	1	41040	AMI	DX	09		-183	-1	0	1		
19	AMI	1	4104	AMI	DX	09		-183	-1	0	1		
20	AMI	1	41041	AMI	DX	09		-183	-1	0	1		
21	AMI	1	41042	AMI	DX	09		-183	-1	0	1		
22	AMI	1	4105	AMI	DX	09		-183	-1	0	1		
23	AMI	1	41050	AMI	DX	09		-183	-1	0	1		
24	AMI	1	41051	AMI	DX	09		-183	-1	0	1		
		-							-	-			

Step 2: Moving on to Reporting Files



Specifications

- Parameters
- Codes

Input Files

- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

Input file names

Master (SAS) Program

- Package location
- Dataset location





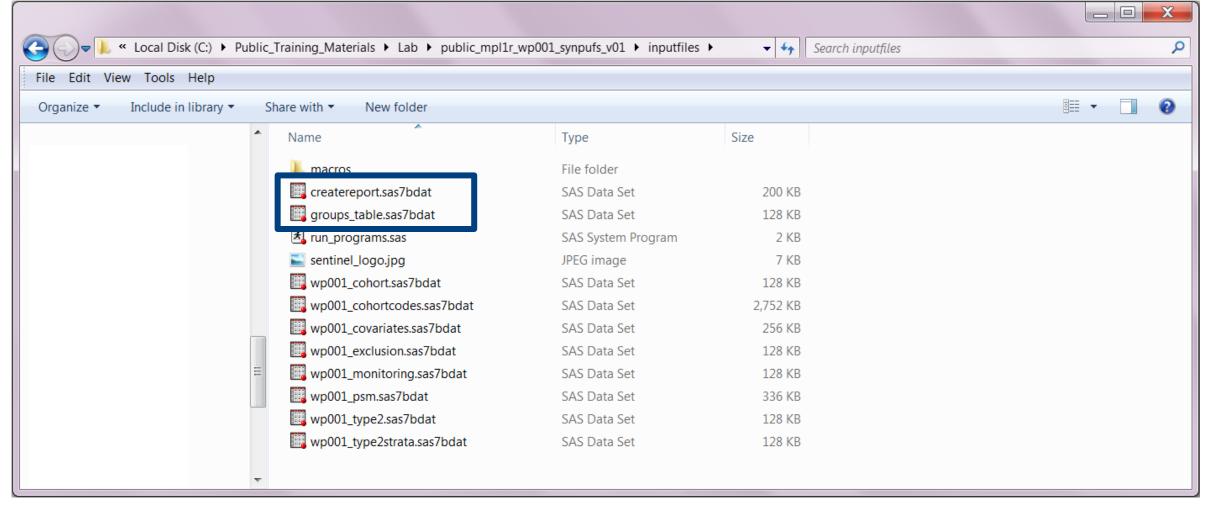
LOCAL REPORT FILES

PURPOSE: Specify what to include in the automated report

Local Report Files



- The local report input files have been created for you
 - createreport.sas7bdat and groups_table.sas7bdat



Create Report File (Excerpt)



	requestid	groups_table	columns_table ty	pe alltyp	efiles	monitoringfile	cohortfile	userstrata	covariatecodes
1	public_mpl1r_wp001	groups_table		2 wp001	_type2	wp001_monitoring	wp001_cohort	wp001_type2strata	wp001_covariates

customtitle	exclude	stratify_by_level	zipfile	agegroupfmt	logo	output_baselinetable	look_start	look_end	output_cdf_km
Typical and Atypical Antipsychotics and Stroke	8	000 001 002 003			sentinel_logo.jpg	Υ	1	1	N

• • •

Create Report File

 \bullet



```
data out.createreport;
format type 1. requestid $23. groups table $30. columns table $30. alltypefiles $50.
monitoringfile $30. cohortfile $30. userstrata $30. covariatecodes $30.
customtitle $50. exclude $50. stratify by level $50.
zipfile $15. agegroupfmt $100. logo $30. output baselinetable $1. look start 1. look end 1.
output cdf km $1. cdf title1 $50. km title1 $50. km title2 $50. cdf footnote1 $50. cdf footnote2 $50.
km footnote1 $50. km footnote2 $50. cdf xmin 8. cdf xmax 8. cdf xtick 8. km xmin 8. km xmax 8. km xtick 8.
cdf ymin 8. cdf ymax 8. cdf ytick 8. km ymin 8. km ymax 8. km ytick 8. km ep xmin 8. km ep xmax 8. km ep xtick 8.
km ep ymin 8. km ep ymax 8. km ep ytick 8. censoring display $40. cens elig $20. cens dth $20. cens dpend $20. cens qryend $20.
cens episend $20. cens spec $20. cens event $20. displayn $1. line spacing 8.;
type=2;
requestid= 'public mpl1r wp001';
groups table= 'groups table';
columns table= '';
alltypefiles='wp001 type2';
monitoringfile= 'wp001 monitoring';
cohortfile= 'wp001 cohort';
userstrata= 'wp001 type2strata';
covariatecodes = 'wp001 covariates';
customtitle= 'Typical and Atypical Antipsychotics and Stroke';
exclude= '8';
stratify by level= '000 001 002 003';
zipfile= '';
agegroupfmt= '';
logo= 'sentinel logo.jpg';
output baselinetable= 'Y';
look start= 1;
look end= 1;
output cdf km= 'N';
```

Groups File



```
%macro groups (title, group, grouplabel, header);
data groups table&title.;
    format header $60. group1 $30. runid1 $10. group2 $30. runid2 $10. grouplabel $100.
           combinedgroupname $50. order 8. Historyofuse $50. Recordedhistory $50.
           Utilizationintensity $50. highlight vars $60. alphabetical covarsort $1. Baselinelabel $50.;
    header = "&header.":
   group1 = "&group.";
   runid1= 'r01';
   group2 = "";
   runid2 = "";
    grouplabel= "&grouplabel.";
    combinedgroupname= "";
    order = &title.;
    Historyofuse = "";
    Recordedhistory = "";
   Utilizationintensity = "";
   highlight vars = "";
   alphabetical covarsort = 'N';
    Baselinelabel = "";
    run;
%mend;
%groups (1, typ is, Typical Antipsychotics, Ischemic Stroke);
%groups (2, typ ich, Typical Antipsychotics, Intracranial Hemorrhage);
%groups (3, atyp is, Atypical Antipsychotics, Ischemic Stroke);
%groups (4, atyp ich, Atypical Antipsychotics, Intracranial Hemorrhage);
```

Groups File



	header	group1	runid1	group2	runid2	grouplabel	combinedgroupname
1	Ischemic Stroke	typ_is	r01			Typical Antipsychotics	
2	Intracranial Hemorrhage	typ_ich	r01			Typical Antipsychotics	
3	Ischemic Stroke	atyp_is	r01			Atypical Antipsychotics	
4	Intracranial Hemorrhage	atyp_ich	r01			Atypical Antipsychotics	

order	Historyofuse	Recordedhistory	Utilizationintensity	highlight_vars	alphabetical_covars	Baselinelabel
1					N	
2					N	
3					N	
4					N	

Step 2: Moving on to Propensity Score Files



Specifications

- Parameters
- Codes

Input Files



- Monitoring File*
- Cohort File
- Type File
- Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- **Propensity Score**
 - Comparison File

Main (run) Program

Input file names



Master (SAS) Program

- Package location
- Dataset location

*Global Parameter Files

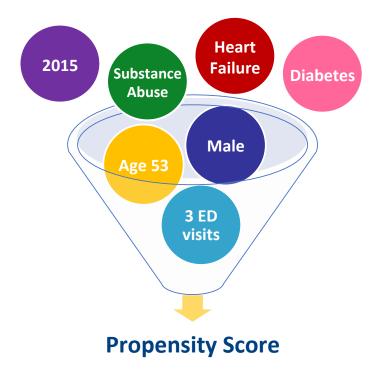


PROPENSITY SCORE COMPARISON FILE

PURPOSE: Specify all exposure/comparator pairs that should be evaluated in the

propensity score analysis

PARAMETERS: 10



Exercise: Create Propensity Score Comparison File



- Open 9_propensityscore.sas program
- Assign library:%let basename = [FILL IN FILE PATH]/Public_Training_Materials/Lab;

	comp_order	comp	control	caliper	ratio	class	noclass	hdps	hdpswinfrom	hdpswinto
1	1			-		Sex Covar1 Covar2 Covar3 Covar4 Covar5 Covar6 Covar7 Covar8 Covar9 Covar10 Covar11 Covar12	Age	N	-	
2	2					Sex Covar1 Covar2 Covar3 Covar4 Covar5 Covar6 Covar7 Covar8 Covar9 Covar10 Covar11 Covar12	Age	N	-	-

```
%macro psm (title,comp,control);
   data psm&title.;
    format comp order 8. comp $40. control $40. caliper best12. ratio $1. class $999. noclass $999.
          hdps $1. hdpswinfrom 8. hdpswinto 8.;
   comp order = &title.;
   comp = "&comp"; /* Exposure of Interest; Valid values: typ is typ ich atyp is atyp ich; Required */
   control = "&control"; /* Comparator of Interest; Valid values: typ is typ ich atyp is atyp ich; Required */
   caliper= . ; /* Matching Caliper; Numerical; Valid values: Any value between 0-1; Required */
   ratio= ' '; /* Matching Ratio; Valid values: 'F' or 'V' for fixed 1:1 matching or variable 1:n matching; Required */
   class="Sex Covar1 Covar2 Covar3 Covar4 Covar5 Covar6 Covar7 Covar8 Covar9 Covar10 Covar11 Covar12";
   noclass="Age";
   hdps='N';
   hdpswinfrom=.;
   hdpswinto=.;
   run;
%mend;
%psm (1, , ); /* Fill in group 1 name (exposure) and group 3 name (comparator) */
%psm (2, , ); /* Fill in group 2 name (exposure) and group 4 name (comparator) */
```

Specifications – Propensity Score



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Finished Propensity Score Comparison File



	comp_order	comp	control	caliper	ratio	class	noclass	hdps	hdpswinfrom	hdpswinto
1	1	typ_is	atyp_is	0.05		Sex Covar1 Covar2 Covar3 Covar4 Covar5 Covar6 Covar7 Covar8 Covar9 Covar10 Covar11 Covar12	Age	N	-	
2	2	typ_ich	atyp_ich	0.05	F	Sex Covar1 Covar2 Covar3 Covar4 Covar5 Covar6 Covar7 Covar8 Covar9 Covar10 Covar11 Covar12	Age	N		-

Step 3: Name and Locate Input Files



Specifications

- _
- Parameters
- Codes

Input Files



- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

• Input file names



Master (SAS) Program

- Package location
- Dataset location





RUN_PROGRAMS

PURPOSE: Reference names of all input files and specify run level parameters

Run_Programs

```
/*** Macro Parameters ***/
/*** Do not include file extensions for any dataset names ***/
%let RUNID=r01;
                                                            Run ID
                                                                                 Sentine
%let PERIODIDSTART=1;
%let PERIODIDEND=1;
%let ANALYSIS=PS;
%let MONITORINGFILE=wp001 monitoring;
%let USERSTRATA=wp001 type2strata;
                                                   Input Files
%let COMBOFILE=;
%let COHORTFILE=wp001 cohort;
%let COHORTCODES=wp001 cohortcodes;
%let INCLUSIONCODES=wp001 exclusion;
%let STOCKPILINGFILE=;
%let RUN ENVELOPE=;
%let FREEZEDATA=N;
                                                   Additional Options
%let ZIPFILE=;
%let LABSCODEMAP=;
%let SURVEILLANCEMODE=;
%let DISTINDEX =;
*Type files;
%let TYPE1FILE=;
%let TYPE2FILE=wp001 type2;
%let TYPE3FILE=;
%let T3METADATA=;
%let TYPE4FILE=;
%let PREGDUR=;
%let TYPE5FILE=;
                                                   Input Files
%let TYPE6FILE=;
*Baseline Table files;
%let COVARIATECODES=wp001 covariates;
%let UTILFILE=;
%let COMORBFILE=;
%let DRUGCLASSFILE=;
%let PROFILE = ;
%let MFUFILE = ;
```

Run_Programs

```
*Additional analyses files: multiple events, overlap,
adherence, ITS, switching, concomittant episodes;
%let MULTEVENTFILE=;
%let MULTEVENTFILE ADHERE=;
%let OVERLAPFILE=;
%let OVERLAPFILE ADHERE=;
                                              Type Specific Optional
%let ITSFILE = ;
%let CONCFILE=;
                                              Parameters
%let TREATMENTPATHWAYS=;
*Mother-Infant Cohort file;
%let MICOHORTFILE=;
** PSA Parameters **;
%let COMPARISON = wp001 psm;
%let COVARIATES CONSIDERED= ;
%let COVARIATES SELECTED= ;
%let RANKING= ;
%let ZERO CELL CORR= ;
                                              Inferential L2
%let PERCENTILES=10;
%let DIAGNOSTICS=;
                                              Related Parameters
** Multiple Factor Matching **;
%let MFMFILE = ;
** Matching Parameters **;
%let INDLEVEL=;
%let UNCONDITIONAL=;
%let ANALYTICSUBGROUPS=;
** Macro Call RUN 1 **;
%include "&sasmacr.runcida.sas";
/** Report Macro Parameters **/
%let CREATEREPORT TYPE = 2;
                                              Reporting Files
%let CREATEREPORT T1T2 FILE = createreport;
%let CREATEREPORT T5 FILE = ;
```

%include "&reportmacr.runreport.sas";

Run_Programs - Specifications



* Query period: 1/1/2008 - 12/31/2010

Coverage requirement: Medical and Drug

Pre-index enrollment requirement: 183 days

Post-index enrollment requirement: 0

Enrollment gap: 45 days

Age groups: 18-39, 40-54, 55-65 years

* Stratifications: Age group, Sex, Calendar Year

Censor output categorization: 0-364, 365-729, 730-1094, 1095+ days

* Envelope macro: Reclassify encounters during inpatient stay as inpatient

Propensity score analysis: 1:1 matching

Propensity score caliper: 0.05

Common Errors

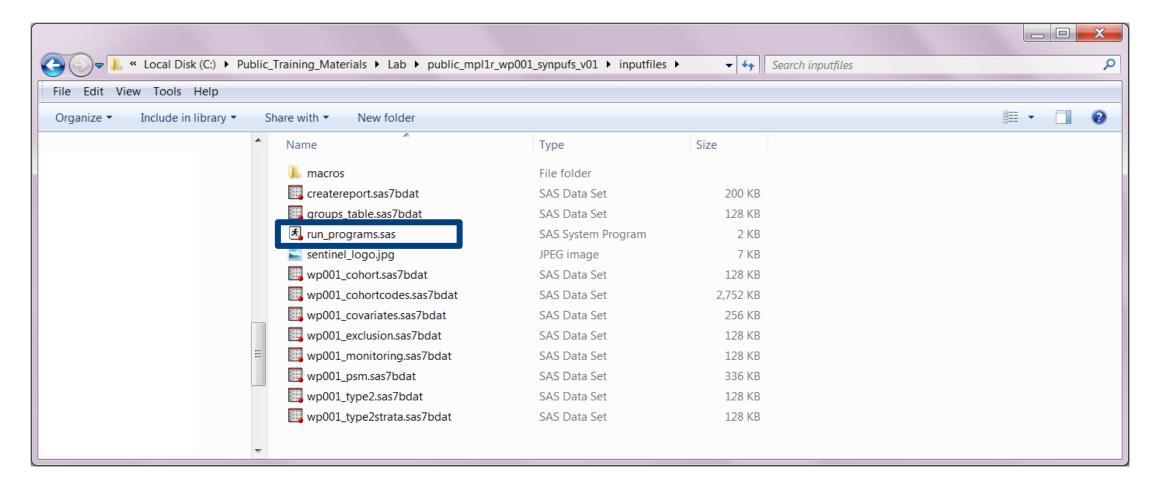


- Typos in input file names will result in an error
- Absence of 'PS' in the ANALYSIS parameter will result in no baseline table for a propensity score analysis, even if the covariate codes input file is present

RUN_PROGRAMS.SAS



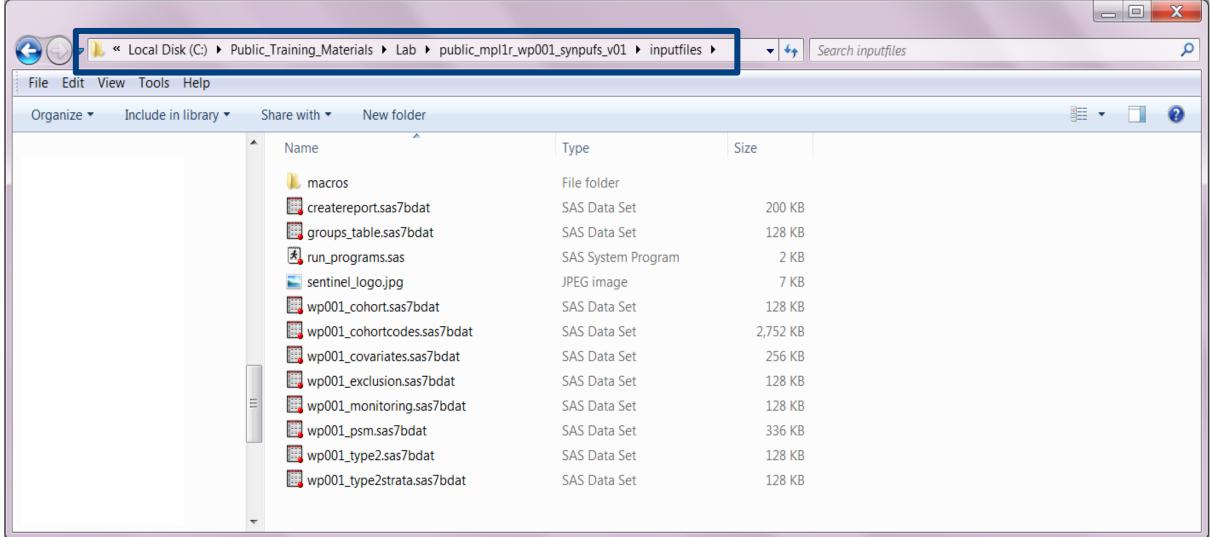
- Referred to as "main program" in CIDA documentation
- Review the completed run_programs.sas file in your inputfiles folder



Final 'inputfiles' Folder



Check to ensure all files were exported correctly into your 'inputfiles' folder



-{∩



SASPROGRAMS

PURPOSE: Establish test data location and execute package on test data

Step 4: Name and Locate Formatted Data



Specifications



- Parameters
- Codes

Input Files



- Parameters
 - Monitoring File*
 - Cohort File
 - Type File
 - Strata File*
- Codes
 - Cohort Codes File
 - Inclusion/Exclusion File
 - Covariate Codes File*
- Reporting
 - Create Report File*
 - Groups File*
- Propensity Score
 - Comparison File

Main (run) Program

• Input file names



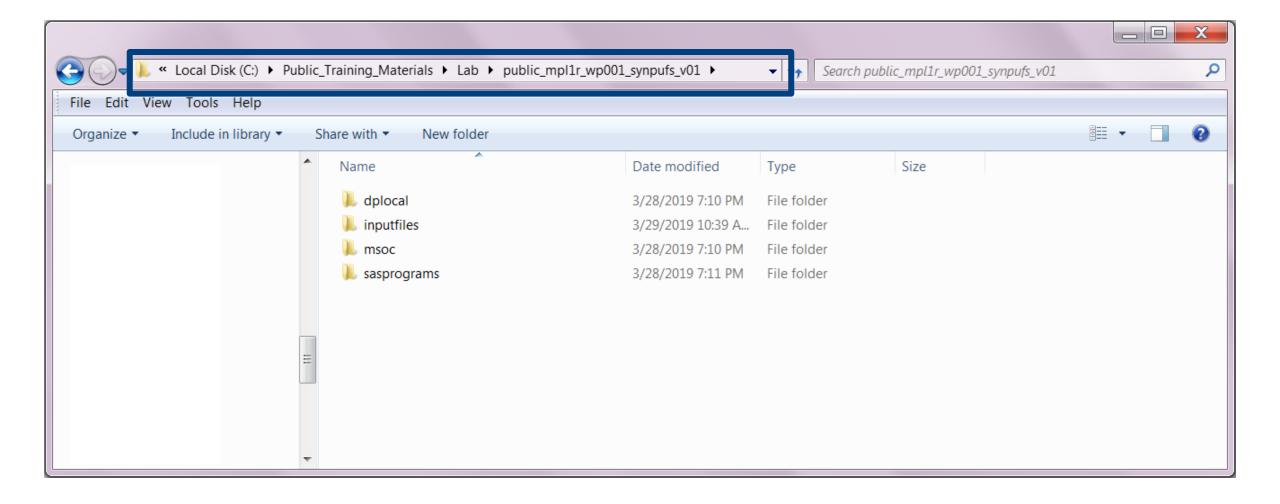
Master (SAS) Program

- Package location
- Dataset location



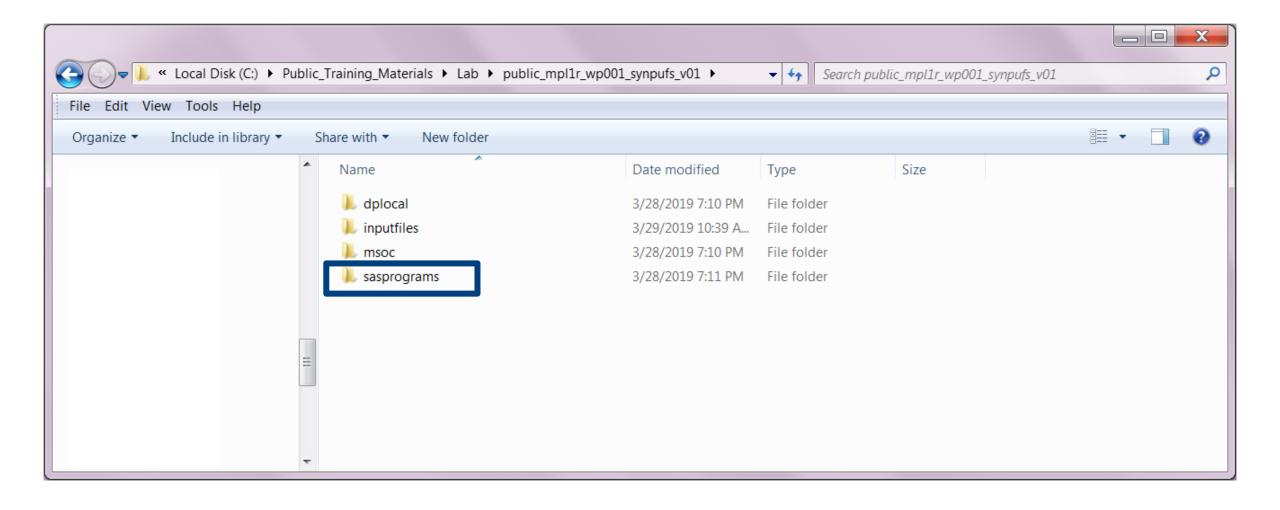
Prepare Request Package





Name and Locate Formatted Data

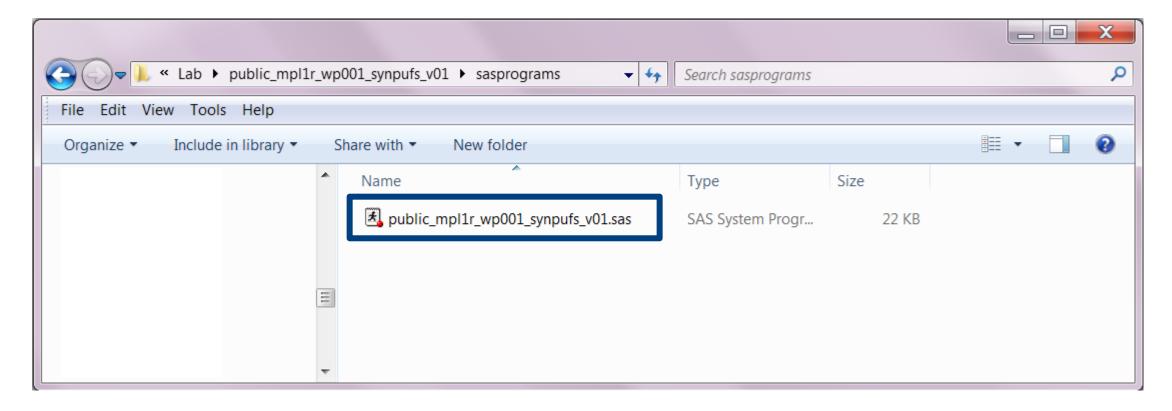




Name and Locate Formatted Data



- Referred to as "master program" in CIDA documentation
- Identifies claims database for analysis
- Specifies package location using multiple identifiers



Exercise: Update Master (SAS) Program



Update file paths under %let _packageroot and %let prod_scdm

```
/* 1c. OPTIONAL: Organizations WITHOUT Common Components define parameters in this
       section, leaving STEP 1a. above blank.
                                                                                       */
   /* DP is a descriptive identifier for your organization. Specify a 3-6 UPPERCASE
       character abbreviation for DP. Example: %let DP=ABCDE;
       %let DP= synpuf;
    /* Specify the location of this request package, containing the 4 subfolders:
       dplocal, msoc, inputfiles, and sasprograms */
    /* Example: %let packageroot = A:/sentinel/ga mil package/
       %let packageroot = C:\Public Training Materials\Lab\public mpl1r wp001 synpufs v01;
    /* Specify the file path to the location of your Phase A Sentinel Common Data Model
      (SCDM) datasets. Example: %let prod scdm=A:/sentinel/etl1/phaseA/scdm/;
       %let prod scdm= C:\Public Training Materials\Lab\test data;
    /* The following metadata describe characteristics of your SCDM. If known, modify the
       following parameters:
    /* DP MinDate: Specify the overall SCDM minimum date in the format "DDMMMYYYY"d
                  Default value is "01Jan2000"d
                                                                                       */
       %let DP MinDate="01Jan2008"d;
    /* DP MaxDate: Specify the overall SCDM maximum date in the format "DDMMMYYYY"d
                   Default value is today's date (e.g. "&sysdate."d)
       %let DP MaxDate = "01Dec2010"d ;
```

Exercise: Update Master (SAS) Program

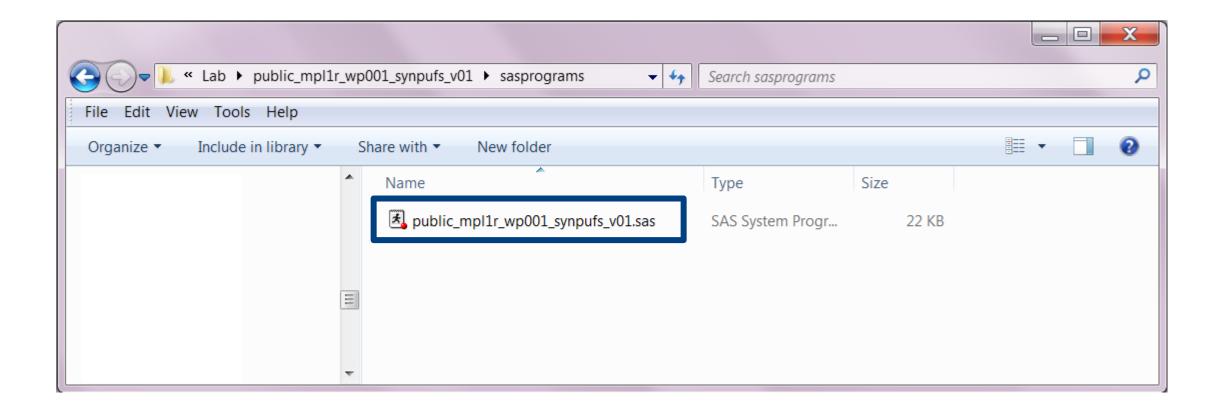


```
/* SECTION 2: SOC Programmer/Analyst preparing the Request sets each parameter prior
           to distributing to DP
/*_____*/
/* Specific request IDs are made up of the following 5 tokens:
   project-ID, workplan-type, workplan-ID, unique-DPID, version-ID */
 * If this is your request .... then set parameter values as follows
 * [Project-ID: CDER] %let ProjID= cder;
 * [Workplan-Type: ad hoc request] %let WPType = ahr;
 * [Workplan-ID: 5]
                                   %let WPID = wp005 ;
 * [Unique-DPID: non-specific DP] %let DPID = nsdp;
 * [Version-ID: beta 3] %let VerID = b03;
 * [use underscores as delimiter] %let dlm = ;
   The example values above would produce Request-ID --> cder_ahr_wp005_nsdp_b03 ;
/* Specify project-ID, workplan-type, workplan-ID, workplan-type, dpid, version-ID */
  %let ProjID = public ;
  %let WPType = mpl1r ;
  %let WPID = wp001;
  %let DPID = synpufs ;
  %let VerID = v01 ;
 /* Create request-id delimiter - Default is underscore ( )
                                                                       */
  %let dlm = ; /* Do not edit */
```

Exercise: Execute Request Package



Right click on master program and batch submit the program





Questions?

info@sentinelsystem.org



CIDA Output and Report Interpretation

Agenda



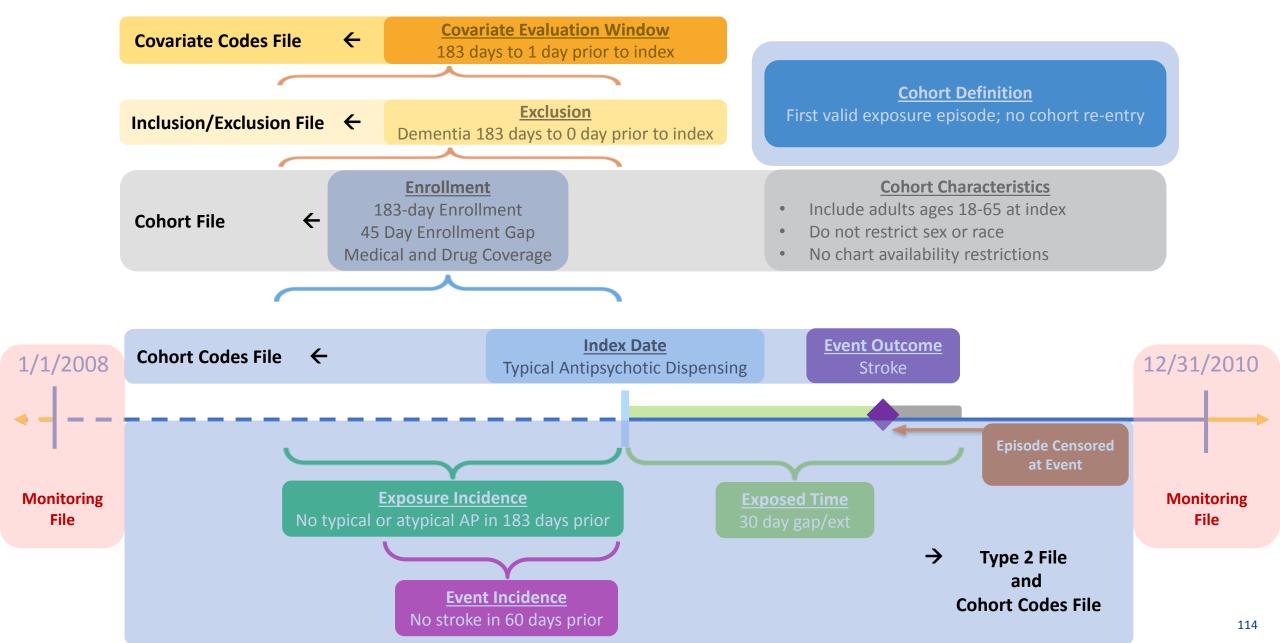
- Review of Query Design
- By Topic
 - SAS Output
 - Interpretation of Report Contents

Topics

- Signature file
- Baseline characteristics
- Type 2 Report
- Attrition
- Censor
- Propensity Score Analysis

Incidence Rates Design Diagram and File Map

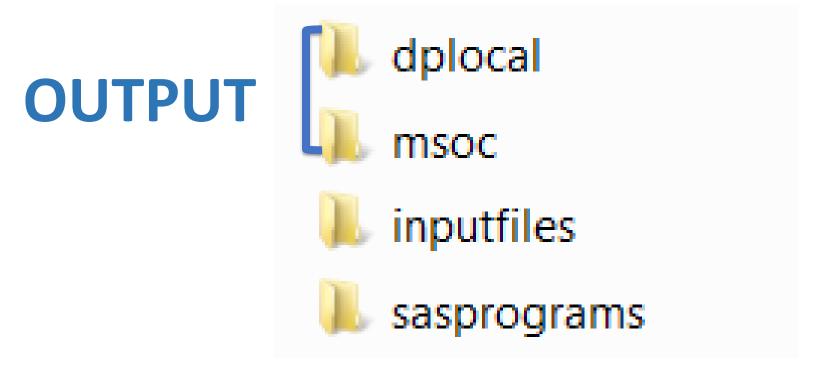




SAS Output from a CIDA Type 2 Analysis - Overview



Data gets output to msoc and dplocal folders



For Sentinel queries, the Sentinel Operations Center does not have access to datasets in *dplocal*.

SAS Output from a CIDA Type 2 Analysis





- Signature
- Baseline
- T2_CIDA
- Attrition
- Censor_CIDA

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Signature Output



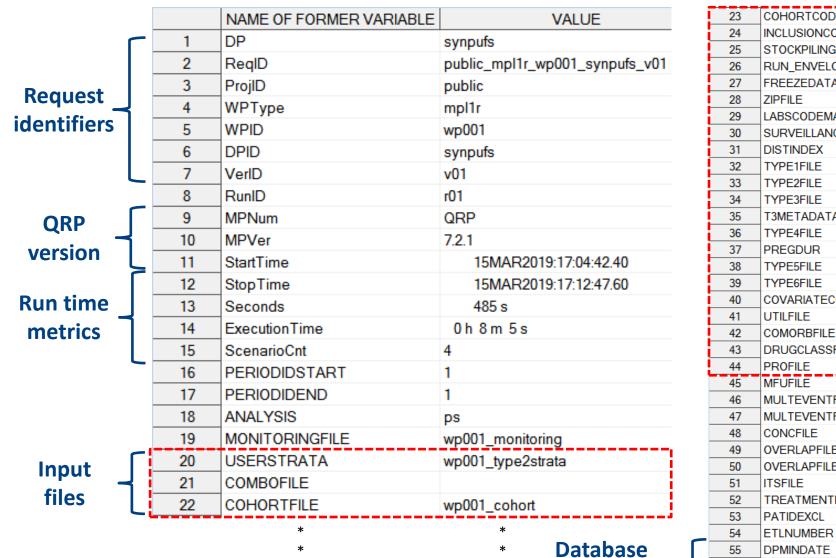
- Provides metadata associated with the request
 - Request identifiers
 - Workplan number
 - CIDA QRP Version
 - Scenario count
 - Input files referenced
 - Database characteristics
 - Data Partner max and min dates
 - If 'Patients to Exclude' macro was utilized
 - If data was frozen
 - Run time metrics
 - Execution time
 - Start and stop time stamps

Signature Output File - Example



Input

files



characteristics

23	COHORTCODES	wp001_cohortcodes
24	INCLUSIONCODES	wp001_exclusion
25	STOCKPILINGFILE	
26	RUN_ENVELOPE	
27	FREEZEDATA	n
28	ZIPFILE	
29	LABSCODEMAP	
30	SURVEILLANCEMODE	
31	DISTINDEX	
32	TYPE1FILE	
33	TYPE2FILE	wp001_type2
34	TYPE3FILE	
35	T3METADATA	
36	TYPE4FILE	
37	PREGDUR	
38	TYPE5FILE	
39	TYPE6FILE	
40	COVARIATECODES	wp001_covariates
41	UTILFILE	
42	COMORBFILE	
43	DRUGCLASSFILE	
44	PROFILE	
45	MFUFILE	
46	MULTEVENTFILE	
47	MULTEVENTFILE_ADHERE	
48	CONCFILE	
49	OVERLAPFILE	
50	OVERLAPFILE_ADHERE	
51	ITSFILE	
52	TREATMENTPATHWAYS	
53	PATIDEXCL	No
54	ETLNUMBER	1
55	DPMINDATE	01JAN2008
56	DPMAXDATE	15MAR2019

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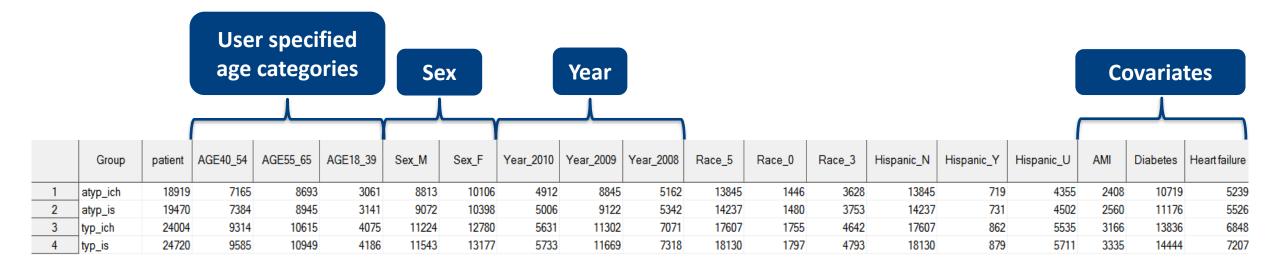
Baseline Output



- Default output table characterizes each exposure/outcome scenario for:
 - Age
 - Sex
 - Race
 - Year of exposure
 - User-defined conditions
 - Medical and drug utilization metrics
 - Comorbidity score
- Evaluation for conditions occurs in flexible periods of time relative to the index date

Baseline Output





Report - Baseline Table



	Typical Antip	sychotics
Characteristic ¹	N/Mean	%/Std Dev ²
Number of episodes	24,720	
Number of unique patients	24,720	
Demographics		
Mean Age	51.6	10.6
Age: 18-39	4,186	16.9%
Age: 40-54	9,585	38.8%
Age: 55-65	10,949	44.3%
Gender (Female)	13,177	53.3%
Gender (Male)	11,543	46.7%
Year (2008)	7,318	29.6%
Year (2009)	11,669	47.2%
Year (2010)	5,733	23.2%
Recorded history of:		
AMI	3,335	13.5%
Diabetes	14,444	58.4%
Heart failure	7,207	29.2%
Hypercholesterolemia	13,612	55.1%
Hypertension	17,000	68.8%
Kidney failure	7,491	30.3%
Depression	7,537	30.5%
Anxiety	4,006	16.2%
Bipolar	6,708	27.1%
Schizophrenia/psychotic	5,834	23.6%
Substance abuse	2,348	9.5%
Transient ischemic attack	991	4.0%

- Table 1s show baseline characteristics
- Baseline table created for each exposure/outcome scenario (Tables 1a – 1d)
- Note: Stroke appears here because the baseline table covers days (-183,-1) but the outcome washout is days (-60,-1).

SAS Output from a CIDA Type 2 Analysis

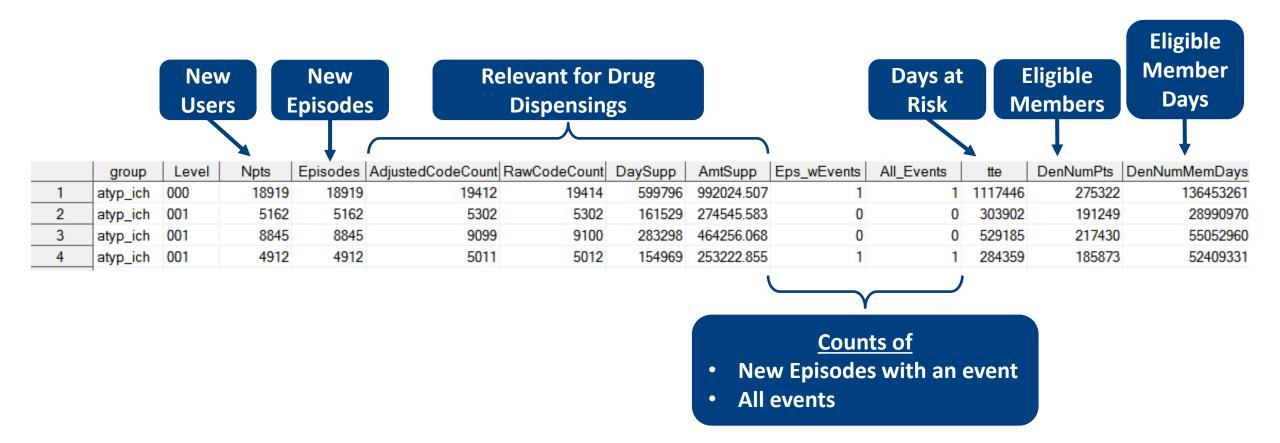




- Signature
- Baseline
- T2_CIDA
- Attrition
- Censor_CIDA

T2_CIDA Output





T2_CIDA Output



	group	Leve	sex	agegroup	agegroupnum	year	month	zip3	state	hhs_reg	cb_reg	zip_uncertair	race hispanic	Npts	Episodes /	AdjustedCodeCount	RawCodeCount	DaySupp	AmtSupp	Eps_wEvents	All_Events	tte	DenNumPts	DenNumMemDays
1	atyp_ich	000												18919	18919	19412	19414	599796	992024.507	1	1	1117446	275322	136453261
2	atyp_ich	001				2008								5162	5162	5302	5302	161529	274545.583	0	0	303902	191249	28990970
3	atyp_ich	001				2009								8845	8845	9099	9100	283298	464256.068	0	0	529185	217430	55052960
4	atyp_ich	001				2010								4912	4912	5011	5012	154969	253222.855	1	1	284359	185873	52409331
5	atyp_ich	002	F											10106	10106	10356	10357	321108	525719.424	0	0	597851	140512	69815671
6	atyp_ich	002	М											8813	8813	9056	9057	278688	466305.083	1	1	519595	134810	66637590
7	atyp_ich	002	0											0	0	0	0	0	0	0	0	0	0	0
8	atyp_ich	003		18-39	1									3061	3061	3133	3134	95266	156517.689	0	0	178854	35884	18902512
9	atyp_ich	003	4	40-54	2									7165	7165	7387	7387	226578	368903.889	0	0	421781	93304	48342059
10	atyp_ich	003	ļ	55-65	3									8693	8693	8892	8893	277952	466602.929	1	1	516811	163212	69208690

- Data reported overall and stratified by age group, sex, and year
- Stratifications are user-defined

New Users = New Episodes when No Cohort Re-entry



New Users	Eligible Members¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
schemic Stroke											
Typical Antipsychotics											
24,720	275,462	24,720	1,466,593	4,015.3	25,963	25,964	780,011	1,215,820	19	138,151,408	378,237.9
Atypical Antipsychotics											
19,470	275,462	19,470	1,149,639	3,147.5	19,977	19,979	616,789	1,019,508	10	139,376,883	381,593.1
Intracranial Hemorrhage											
Typical Antipsychotics											
24,004	275,322	24,004	1,425,097	3,901.7	25,215	25,216	757,906	1,181,054	3	135,311,139	370,461.7
Atypical Antipsychotics				_							
18,919	275,322	18,919	1,117,446	3,059.4	19,412	19,414	599,796	992,025	1	136,453,261	373,588.7

Three Variables for Denominators



New Users	Eligible Members¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
Ischemic Stroke											
Typical Antipsychotics											
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CIDA Denominators – for Types 1 and 2



- Eligible members
 - Restricted to health plan members at participating Data Partners and may not be nationally representative
 - Number of members eligible for an index date
 - Must meet enrollment requirements, washout criteria, and inclusion/exclusion criteria for at least one day during the query period
- Eligible member days

All the days during the query period that an eligible member is eligible for <u>inclusion in</u>
 the cohort

- Tool assesses members every day of query period and counts eligible member days
- If you have at least 1 eligible day, you are an eligible member

Incidence Rates: Denominators



Exclusion

Dementia 183 days to 0 day prior to index

Enrollment

183-day Enrollment 45 Day Enrollment Gap Medical and Drug Coverage

Cohort Definition

First valid exposure episode; no cohort re-entry

Cohort Characteristics

- Include adults ages 18-65 at index
- Do not restrict sex or race
- No chart availability restrictions

Exposure Incidence

No typical or atypical AP in 183 days prior

Event Incidence

No stroke in 60 days prior

12/31/2010

Total Person-Time-At-Risk



New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
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Total Dispensing (Code Count) Information



New Users	Eligible Members	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
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18,919	275,322	18,919	1,117,446	3,059.4	19,412	19,414	599,796	992,025	1	136,453,261	373,588.7

Compare Days Supplied with Days at Risk



New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
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Event Counts for Sample Size Calculations



New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
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Intracranial Hemorrhage											
Typical Antipsychotics											
24,004	275,322	24,004	1,425,097	3,901.7	25,215	25,216	757,906	1,181,054	3	135,311,139	370,461.7
Atypical Antipsychotics											
18,919	275,322	18,919	1,117,446	3,059.4	19,412	19,414	599,796	992,025	1	136,453,261	373,588.7

Stratification of Results



- The CIDA tool can stratify select results from all cohort identification strategies by age, sex, year, month, race, and certain geographic information.
 - Documentation includes information on available stratifications.
- Custom strata may be defined in the CIDA tool from lists of valid stratification variables specific to each method of cohort identification.
 - Denominators are not calculated for custom stratifications.
- Results may also be stratified by defined covariates.

Summary Counts by Year



Year	New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
schemic Stro	ke											
Typical Antips	ychotics											
2008	7,318	191,531	7,318	435,402	1,192.1	7,746	7,746	230,664	365,169	5	29,714,745	81,354.5
2009	11,669	215,929	11,669	697,925	1,910.8	12,283	12,284	370,533	575,547	12	56,115,817	153,636.7
2010	5,733	181,814	5,733	333,266	912.4	5,934	5,934	178,814	275,104	2	52,320,846	143,246.7
Atypical Antip	sychotics											
2008	5,342	191,531	5,342	314,868	862.1	5,484	5,484	167,421	284,231	5	29,714,745	81,354.5
2009	9,122	217,542	9,122	545,085	1,492.4	9,386	9,387	291,619	478,554	5	56,437,421	154,517.2
2010	5,006	185,584	5,006	289,686	793.1	5,107	5,108	157,749	256,723	0	53,224,717	145,721.3
ntracranial H	lemorrhage											
Typical Antips	sychotics											
2008	7,071	191,249	7,071	421,342	1,153.6	7,488	7,488	223,218	353,963	0	28,990,970	79,373.0
2009	11,302	215,865	11,302	676,659	1,852.6	11,897	11,898	359,173	556,422	1	54,757,842	149,918.8
2010	5,631	182,242	5,631	327,096	895.5	5,830	5,830	175,515	270,670	2	51,562,327	141,170.0
Atypical Antip	sychotics											
2008	5,162	191,249	5,162	303,902	832.0	5,302	5,302	161,529	274,546	0	28,990,970	79,373.0
2009	8,845	217,430	8,845	529,185	1,448.8	9,099	9,100	283,298	464,256	0	55,052,960	150,726.8
2010	4,912	185,873	4,912	284,359	778.5	5,011	5,012	154,969	253,223	1	52,409,331	143,488.9

Summary Counts by Sex



Sex	New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
Ischemic Stro	oke											
Typical Antips	sychotics											
Female	13,177	140,584	13,177	780,156	2,136.0	13,798	13,798	413,993	646,209	13	70,699,963	193,565.9
Male	11,543	134,878	11,543	686,437	1,879.4	12,165	12,166	366,018	569,611	6	67,451,445	184,672.0
Other	0	0	0	0	0.0	0	0	0	0	0	0	0.0
Atypical Antip	sychotics											
Female	10,398	140,584	10,398	614,964	1,683.7	10,658	10,659	330,189	540,240	3	71,337,275	195,310.8
Male	9,072	134,878	9,072	534,675	1,463.9	9,319	9,320	286,600	479,268	7	68,039,608	186,282.3
Other	0	0	0	0	0.0	0	0	0	0	0	0	0.0
Intracranial H	lemorrhage											
Typical Antips	sychotics											
Female	12,780	140,512	12,780	757,302	2,073.4	13,381	13,381	401,711	626,103	2	69,220,817	189,516.3
Male	11,224	134,810	11,224	667,795	1,828.3	11,834	11,835	356,195	554,951	1	66,090,322	180,945.4
Other	0	0	0	0	0.0	0	0	0	0	0	0	0.0
Atypical Antip	sychotics											
Female	10,106	140,512	10,106	597,851	1,636.8	10,356	10,357	321,108	525,719	0	69,815,671	191,144.9
Male	8,813	134,810	8,813	519,595	1,422.6	9,056	9,057	278,688	466,305	1	66,637,590	182,443.8
Other	0	0	0	0	0.0	0	0	0	0	0	0	0.0

Summary Counts by Age Group



Age Group	New Users	Eligible Members ¹	New Episodes	Days At Risk	Years at Risk	Adjusted Dispensings	Raw Dispensings	Days Supplied	Amount Supplied	New Episodes with an Event	Eligible Member-Days¹	Eligible Member Years¹
Ischemic Stroke												
Typical Antipsychotics	S											
18-39	4,186	35,895	4,186	248,735	681.0	4,424	4,424	132,553	208,283	3	19,059,333	52,181.6
40-54	9,585	93,218	9,585	571,154	1,563.7	10,099	10,100	304,448	468,993	8	48,842,100	133,722.4
55-65	10,949	163,112	10,949	646,704	1,770.6	11,440	11,440	343,010	538,544	8	70,249,975	192,333.9
Atypical Antipsychotic	:S											
18-39	3,141	35,895	3,141	183,465	502.3	3,214	3,215	97,693	161,229	4	19,294,889	52,826.5
40-54	7,384	93,324	7,384	434,722	1,190.2	7,615	7,615	233,557	378,916	3	49,376,179	135,184.6
55-65	8,945	163,308	8,945	531,452	1,455.0	9,148	9,149	285,539	479,363	3	70,705,815	193,582.0
Intracranial Hemorrh	nage											
Typical Antipsychotics	S											
18-39	4,075	35,884	4,075	242,248	663.2	4,305	4,305	129,060	203,352	1	18,686,759	51,161.6
40-54	9,314	93,198	9,314	555,627	1,521.2	9,817	9,818	296,104	454,983	2	47,836,512	130,969.2
55-65	10,615	163,017	10,615	627,222	1,717.2	11,093	11,093	332,742	522,720	0	68,787,868	188,330.9
Atypical Antipsychotic	cs											
18-39	3,061	35,884	3,061	178,854	489.7	3,133	3,134	95,266	156,518	0	18,902,512	51,752.3
40-54	7,165	93,304	7,165	421,781	1,154.8	7,387	7,387	226,578	368,904	0	48,342,059	132,353.3
55-65	8,693	163,212	8,693	516,811	1,415.0	8,892	8,893	277,952	466,603	1	69,208,690	189,483.1

SAS Output from a CIDA Type 2 Analysis





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Attrition Output (Patient Level)



- Includes the number of individuals excluded and remaining after each cohort criterion is applied during the CIDA tool execution
 - Attrition repeats by GROUP (i.e., scenario) and is irrespective to other GROUPs
 - Type and detailed description of criterion, eg 'Exclusion Members must satisfy the age range condition within the query period'

Attrition: First Losses are Enrollment-based



group	level descr	remaining	Excluded
typ_is	1 Initial Member Count - Members with a non-missing birth date/sex at any enrollment episode overlapping the query period	2,224,739	
typ_is	2 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=N and MedCov=Y during the query period	2,049,969	174770
typ_is	3 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N during the query period	1,654,330	395639
typ_is	4 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N and DrugCov=N and MedCov=Y during the period	he query 1,554,312	100018
typ_is	5 Exclusion - Members must satisfy the age range condition within the query period	379,164	1175148
typ_is	6 Exclusion - Members must meet chart availability criterion within the query period	379,164	0
typ_is	7 Exclusion - Members must satisfy the demographic (sex, race and hispanic) condition	379,164	0
typ_is	8 Exclusion - Members must have at least one claim with cohort-identifying codes within the query period	39,569	339595
typ_is	9 Exclusion - Members must have at least one cohort episode beginning within the age range condition	34,664	4905
typ_is	10 Exclusion - Members must have at least one episode defining index claim during the query period	34,664	0
typ_is	11 Exclusion - Members must have at least one cohort episode incident with respect to other criteria	32,240	2424
typ_is	12	32,240	0
typ_is	13 Exclusion - Members must have at least one cohort episode satisfying the pre-index enrollment criterion	24,853	7387
typ_is	14 Exclusion - Members must have at least one cohort episode satisfying the HOI-defined enrollment criterion	24,853	0
typ_is	15 Exclusion - Members must have at least one cohort episode that meets HOI incidence criterion	24,741	112
typ_is	16 Exclusion - Members must have at least one cohort episode satisfying the exclusion enrollment requirement	24,741	0
typ_is	17 Exclusion - Members must have at least one cohort episode satisfying the exclusion conditions	24,741	0
typ_is	18 Exclusion - Members must have at least one cohort episode satisfying the inclusion enrollment requirement	24,741	0
typ_is	19 Exclusion - Members must have at least one cohort episode satisfying the inclusion conditions	24,741	0
typ_is	20 Exclusion - Members must have at least one cohort episode satisfying the post-index enrollment criterion	24,741	0
typ_is	21 Exclusion - Members must have at least one cohort episode with at least minimum days supplied	24,741	0
typ_is	22 Exclusion - Members must have at least one cohort episode with at least minimum days duration	24,741	0
typ_is	23 Exclusion - Members must have at least one cohort episode with longer than blackout days duration	24,720	21
typ_is	24 Exclusion - Members must have at least one cohort episode that meets HOI blackout criterion	24,720	0
typ_is	25	24,720	0
typ_is	26 Information - Members with at least one cohort claim with supply and/or amount outside specified ranges		0
typ_is	27 Information - Members with at least one HOI claim with supply and/or amount outside specified ranges		0
typ_is	28 Information - Members with at least one INCL/EXCL claim with supply and/or amount outside specified ranges		0
typ_is	29 Information - Members lost to follow-up up to end of monitoring period (Type 2, surveillance mode only)		0
typ_is	30 Information - Members still at risk at the end of monitoring period (Type 2, surveillance mode only)	24,720	-

Attrition: Next Losses are Demographic



group	level descr	remaining	Excluded
typ_is	1 Initial Member Count - Members with a non-missing birth date/sex at any enrollment episode overlapping the query period	2,224,739	
typ_is	2 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=N and MedCov=Y during the query period	2,049,969	174770
typ_is	3 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N during the query period	1,654,330	395639
typ_is	4 Exclusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N and DrugCov=N and MedCov=Y during the query period	1,554,312	100018
typ_is	5 Exclusion - Members must satisfy the age range condition within the query period	379,164	1175148
typ_is	6 Exclusion - Members must meet chart availability criterion within the query period	379,164	0
typ_is	7 Exclusion - Members must satisfy the demographic (sex, race and hispanic) condition	379,164	0
typ_is	8 Exclusion - Members must have at least one claim with cohort-identifying codes within the query period	39,569	339595
typ_is	9 Exclusion - Members must have at least one cohort episode beginning within the age range condition	34,664	4905
typ_is	10 Exclusion - Members must have at least one episode defining index claim during the query period	34,664	0
typ_is	11 Exclusion - Members must have at least one cohort episode incident with respect to other criteria	32,240	2424
typ_is	12	32,240	0
typ_is	13 Exclusion - Members must have at least one cohort episode satisfying the pre-index enrollment criterion	24,853	7387
typ_is	14 Exclusion - Members must have at least one cohort episode satisfying the HOI-defined enrollment criterion	24,853	0
typ_is	15 Exclusion - Members must have at least one cohort episode that meets HOI incidence criterion	24,741	112
typ_is	16 Exclusion - Members must have at least one cohort episode satisfying the exclusion enrollment requirement	24,741	0
typ_is	17 Exclusion - Members must have at least one cohort episode satisfying the exclusion conditions	24,741	0
typ_is	18 Exclusion - Members must have at least one cohort episode satisfying the inclusion enrollment requirement	24,741	0
typ_is	19 Exclusion - Members must have at least one cohort episode satisfying the inclusion conditions	24,741	0
typ_is	20 Exclusion - Members must have at least one cohort episode satisfying the post-index enrollment criterion	24,741	0
typ_is	21 Exclusion - Members must have at least one cohort episode with at least minimum days supplied	24,741	0
typ_is	22 Exclusion - Members must have at least one cohort episode with at least minimum days duration	24,741	0
typ_is	23 Exclusion - Members must have at least one cohort episode with longer than blackout days duration	24,720	21
typ_is	24 Exclusion - Members must have at least one cohort episode that meets HOI blackout criterion	24,720	0
typ_is	25	24,720	0
typ_is	26 Information - Members with at least one cohort claim with supply and/or amount outside specified ranges		0
typ_is	27 Information - Members with at least one HOI claim with supply and/or amount outside specified ranges		0
typ_is	28 Information - Members with at least one INCL/EXCL claim with supply and/or amount outside specified ranges		0
typ_is	29 Information - Members lost to follow-up up to end of monitoring period (Type 2, surveillance mode only)	-	0
typ_is	30 Information - Members still at risk at the end of monitoring period (Type 2, surveillance mode only)	24,720	

Attrition: Next Losses are Index-related



group	level	descr	remaining	Excluded
typ_is	1 Initial Member Count - Members	with a non-missing birth date/sex at any enrollment episode overlapping the query period	2,224,739	
typ_is	2 Exclusion - Members must be e	xcluded if they only have enrollment episodes with DrugCov=N and MedCov=Y during the query period	2,049,969	174770
typ_is	3 Exclusion - Members must be e	xcluded if they only have enrollment episodes with DrugCov=Y and MedCov=N during the query period	1,654,330	395639
typ_is	4 Exclusion - Members must be e period	xcluded if they only have enrollment episodes with DrugCov=Y and MedCov=N and DrugCov=N and MedCov=Y during the query	1,554,312	100018
typ_is	5 Exclusion - Members must satis	fy the age range condition within the query period	379,164	1175148
typ_is	6 Exclusion - Members must mee	t chart availability criterion within the query period	379,164	0
typ_is	7 Exclusion - Members must satis	fy the demographic (sex, race and hispanic) condition	379,164	0
typ_is	8 Exclusion - Members must have	at least one claim with cohort-identifying codes within the query period	39,569	339595
typ_is	9 Exclusion - Members must have	at least one cohort episode beginning within the age range condition	34,664	4905
typ_is	10 Exclusion - Members must have	at least one episode defining index claim during the query period	34,664	0
typ_is	11 Exclusion - Members must have	at least one cohort episode incident with respect to other criteria	32,240	2424
typ_is	12		32,240	0
typ_is	13 Exclusion - Members must have	at least one cohort episode satisfying the pre-index enrollment criterion	24,853	7387
typ_is	14 Exclusion - Members must have	at least one cohort episode satisfying the HOI-defined enrollment criterion	24,853	0
typ_is	15 Exclusion - Members must have	at least one cohort episode that meets HOI incidence criterion	24,741	112
typ_is	16 Exclusion - Members must have	at least one cohort episode satisfying the exclusion enrollment requirement	24,741	0
typ_is	17 Exclusion - Members must have	at least one cohort episode satisfying the exclusion conditions	24,741	0
typ_is	18 Exclusion - Members must have	at least one cohort episode satisfying the inclusion enrollment requirement	24,741	0
typ_is	19 Exclusion - Members must have	at least one cohort episode satisfying the inclusion conditions	24,741	0
typ_is	20 Exclusion - Members must have	at least one cohort episode satisfying the post-index enrollment criterion	24,741	0
typ_is	21 Exclusion - Members must have	at least one cohort episode with at least minimum days supplied	24,741	0
typ_is	22 Exclusion - Members must have	at least one cohort episode with at least minimum days duration	24,741	0
typ_is	23 Exclusion - Members must have	at least one cohort episode with longer than blackout days duration	24,720	21
typ_is	24 Exclusion - Members must have	at least one cohort episode that meets HOI blackout criterion	24,720	0
typ_is	25		24,720	0
typ_is	26 Information - Members with at le	ast one cohort claim with supply and/or amount outside specified ranges		0
typ_is	27 Information - Members with at le	ast one HOI claim with supply and/or amount outside specified ranges		0
typ_is	28 Information - Members with at le	ast one INCL/EXCL claim with supply and/or amount outside specified ranges	-	0
typ_is	29 Information - Members lost to fo	llow-up up to end of monitoring period (Type 2, surveillance mode only)	-	0
typ_is	30 Information - Members still at ris	k at the end of monitoring period (Type 2, surveillance mode only)	24,720	-

Attrition: Last Losses are Query-Specific



group	level	descr	remaining	Excluded
typ_is	1 Initia	al Member Count - Members with a non-missing birth date/sex at any enrollment episode overlapping the query period	2,224,739	
typ_is	2 Exc	clusion - Members must be excluded if they only have enrollment episodes with DrugCov=N and MedCov=Y during the query period	2,049,969	174770
typ_is	3 Exc	clusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N during the query period	1,654,330	395639
typ_is	4 Exc peri	clusion - Members must be excluded if they only have enrollment episodes with DrugCov=Y and MedCov=N and DrugCov=N and MedCov=Y during the query iod	1,554,312	100018
typ_is	5 Exc	clusion - Members must satisfy the age range condition within the query period	379,164	1175148
typ_is	6 Exc	clusion - Members must meet chart availability criterion within the query period	379,164	0
typ_is	7 Exc	clusion - Members must satisfy the demographic (sex, race and hispanic) condition	379,164	0
typ_is	8 Exc	clusion - Members must have at least one claim with cohort-identifying codes within the query period	39,569	339595
typ_is	9 Exc	clusion - Members must have at least one cohort episode beginning within the age range condition	34,664	4905
typ_is	10 Exc	clusion - Members must have at least one episode defining index claim during the query period	34,664	0
typ_is	11 Exc	clusion - Members must have at least one cohort episode incident with respect to other criteria	32,240	2424
tvp is	12		32,240	0
typ_is	13 Exc	clusion - Members must have at least one cohort episode satisfying the pre-index enrollment criterion	24,853	7387
typ_is	14 Exc	clusion - Members must have at least one cohort episode satisfying the HOI-defined enrollment criterion	24,853	0
typ_is	15 Exc	clusion - Members must have at least one cohort episode that meets HOI incidence criterion	24,741	112
typ_is	16 Exc	clusion - Members must have at least one cohort episode satisfying the exclusion enrollment requirement	24,741	0
typ_is	17 Exc	clusion - Members must have at least one cohort episode satisfying the exclusion conditions	24,741	0
typ_is	18 Exc	clusion - Members must have at least one cohort episode satisfying the inclusion enrollment requirement	24,741	0
typ_is	19 Exc	clusion - Members must have at least one cohort episode satisfying the inclusion conditions	24,741	0
typ_is	20 Exc	clusion - Members must have at least one cohort episode satisfying the post-index enrollment criterion	24,741	0
typ_is	21 Exc	clusion - Members must have at least one cohort episode with at least minimum days supplied	24,741	0
typ_is	22 Exc	clusion - Members must have at least one cohort episode with at least minimum days duration	24,741	0
typ_is	23 Exc	clusion - Members must have at least one cohort episode with longer than blackout days duration	24,720	21
typ_is	24 Exc	clusion - Members must have at least one cohort episode that meets HOI blackout criterion	24,720	0
typ_is	25		24,720	0
typ_is	26 Info	rmation - Members with at least one cohort claim with supply and/or amount outside specified ranges		0
typ_is	27 Info	rmation - Members with at least one HOI claim with supply and/or amount outside specified ranges		0
typ_is	28 Info	rmation - Members with at least one INCL/EXCL claim with supply and/or amount outside specified ranges		0
typ_is	29 Info	rmation - Members lost to follow-up up to end of monitoring period (Type 2, surveillance mode only)		0
typ_is	30 Info	rmation - Members still at risk at the end of monitoring period (Type 2, surveillance mode only)	24,720	

Attrition Table – Proposed Revision



- Reports the initial member count in a population
- Reports the loss in eligible members due to required enrollment coverage, inclusion and exclusion criteria, incidence washout, etc.

	Remaining	Excluded
Members meeting enrollment and demographic requirements		
Enrolled at any point during the query period		
Had required coverage type(s)		
Enrolled during specified age range		
Had requestable medical charts		
Met demographic requirements		
Members with a valid index event		
Had any cohort-defining claim		
Claim recorded during specified age range		
Met all episode definitions		
Met episode incidence requirement		
Had single NDC on index date		
Members with required pre-index history		
Had sufficient pre-index continuous enrollment		
Met event incidence criteria		
Had no recorded history of exclusion condition(s)		
Had recorded history of inclusion condition(s)		
	-	

SAS Output from a CIDA Type 2 Analysis





- Signature
- Baseline
- T2_CIDA
- Attrition
- Censor_CIDA

Censoring Table



- Optional output that provides:
 - Length of enrollment following index
 - Reason censored
 - Disenrollment, death, end of query period, end of DP data, truncation criteria
- Descriptive statistics (e.g., min, Q1, median, Q3, max) can be provided overall, but not for stratifications
- Categories of user-defined follow-up time can be reported

Censor_CIDA Output



group	level	censorcat_sort	censdays_value_cat	episodes	cens_elig	cens_dth	cens_dpend	cens_qryend	cens_episend	cens_spec	cens_event
atyp_ich	701	1	0-364	18919	630	34	0	353	17535	769	1
atyp_ich	701	2	365-729	0	0	0	0	0	0	0	(
atyp_ich	701	3	730-1094	0	0	0	0	0	0	0	(
atyp_ich	701	4	1095+	0	0	0	0	0	0	0	(
atyp_is	701	1	0-364	19470	639	35	0	356	18046	792	10
atyp_is	701	2	365-729	0	0	0	0	0	0	0	(
atyp_is	701	3	730-1094	0	0	0	0	0	0	0	(
atyp_is	701	4	1095+	0	0	0	0	0	0	0	(
typ_ich	701	1	0-364	24004	667	38	0	344	22706	648	3
typ_ich	701	2	365-729	0	0	0	0	0	0	0	(
typ_ich	701	3	730-1094	0	0	0	0	0	0	0	(
typ_ich	701	4	1095+	0	0	0	0	0	0	0	(
typ_is	701	1	0-364	24720	684	43	0	343	23371	664	19
typ_is	701	2	365-729	0	0	0	0	0	0	0	(
typ_is	701	3	730-1094	0	0	0	0	0	0	0	(
typ_is	701	4	1095+	0	0	0	0	0	0	0	(

DPLocal Files



- The DPLocal folder contains output generated by the request that remains with the Data Partner and may be used to facilitate follow-up queries
- Includes patient level information about the exposure or health outcome of interest (episode start/end dates, enrollment start/end dates, patid, etc)
- Denomcounts: source dataset for eligible members and member-days metrics for the T1_CIDA and T2_CIDA tables
- Numcounts: source dataset for cohort metrics for the T#_CIDA table

MSTR:

- Generated for every type of cohort identification strategy (every Type in CIDA)
- Contains one record per individual per index date for every cohort specified
- Useful for investigating odd/outlier results

MSTR Output (Excerpt)



	Group	IndexDt	EpisodeEndDi	ENR_START	ENR_END	PatID	Birth_date	sex	race	hispanic	Age	DeathDt	IndexLook	NumEvent	FEventDt	tte	Year	Туре	EpisodeType	timetocensor	cens_eliç	g cens_dth
1	atyp_ich	05FEB2010	05APR2010	01JAN2008	31DEC2010	0006BDEDF47C3915	05/01/1959	М	5	N	50.767967146		1			59	2010	2	EPI	59	(0 0
2	atyp_ich	30JUL2009	07SEP2009	01JAN2008	01DEC2010	000954C72AF82508	04/01/1955	М	5	N	54.32991102	01DEC2010	1			39	2009	2	EPI	39	() 0
3	atyp_ich	27JAN2009	31JAN2009	01JAN2008	31JAN2009	000D5CA946B7C4C9	02/01/1943	F	5	N	65.987679671		1			4	2009	2	EPI	4	-	1 0
4	atyp_ich	20OCT2009	08DEC2009	01JAN2008	31DEC2010	000DAAA45900EE70	09/01/1957	М	5	N	52.134154689		1			49	2009	2	EPI	49	(0 0
5	atyp_ich	11JUL2010	08SEP2010	01JAN2008	01NOV2010	000FDF324E1A7584	03/01/1946	F	5	N	64.361396304	01NOV2010	1			59	2010	2	EPI	59	(0 0
6	atyp_ich	20SEP2009	18NOV2009	01JAN2009	31DEC2010	0011E8ACC825E190	08/01/1982	F	5	N	27.137577002		1			59	2009	2	EPI	59	(0 0
7	atyp_ich	19JAN2009	19MAR2009	01FEB2008	31DEC2010	00127D219AD78492	03/01/1948	F	5	N	60.887063655		1			59	2009	2	EPI	59	(0 0
8	atyp_ich	15JUL2008	12SEP2008	01JAN2008	31DEC2010	0017AC6D4A5BC1C2	03/01/1958	М	5	N	50.373716632		1			59	2008	2	EPI	59	(0 0
9	atyp_ich	01SEP2009	30OCT2009	01JAN2009	31DEC2010	00187DB5DFDE4D18	10/01/1963	F	5	N	45.919233402		1			59	2009	2	EPI	59	(0 0
10	atyp_ich	22JAN2010	22MAR2010	01JAN2008	31DEC2010	00230FE94CA7979C	03/01/1950	М	0	Υ	59.89596167		1			59	2010	2	EPI	59	(0 0
11	atyp_ich	10JAN2010	10MAR2010	01JAN2008	31DEC2010	0023A2F8B5C8BAD1	10/01/1963	F	5	N	46.277891855		1			59	2010	2	EPI	59	(0 0
12	atyp_ich	27NOV2008	25JAN2009	01JAN2008	31DEC2009	0025D5E4468E0B75	10/01/1959	М	5	N	49.158110883		1			59	2008	2	EPI	59	(0 0
13	atyp_ich	07AUG2008	05OCT2008	01JAN2008	30SEP2010	00265D0E7B3CE85A	04/01/1964	F	3	U	44.350444901		1			59	2008	2	EPI	59	(0 0
14	atyp_ich	24MAY2009	20SEP2009	01JAN2008	31DEC2010	002C64B90C47B523	01/01/1962	М	5	N	47.392197125		1			119	2009	2	EPI	119	(0 0
15	atyp_ich	25OCT2008	23DEC2008	01JAN2008	31DEC2010	003006CE8F76EFBC	10/01/1948	М	3	U	60.065708419		1			59	2008	2	EPI	59	(0 0
16	atyp_ich	30DEC2008	08FEB2009	01JAN2008	31DEC2010	00303F166AE70F9B	10/01/1944	F	3	U	64.246406571		1			40	2008	2	EPI	40	(0 0
17	atyp_ich	10JUL2010	07SEP2010	01JAN2008	31DEC2010	0030B7E33556BBA5	11/01/1963	F	5	N	46.688569473		1			59	2010	2	EPI	59	(0 0
18	atyp_ich	02MAY2010	20JUN2010	01JAN2008	31DEC2010	0034CE1366E893BA	06/01/1953	F	5	N	56.917180014		1			49	2010	2	EPI	49	(0 0
19	atyp_ich	24SEP2009	02NOV2009	01JAN2008	31DEC2010	003D3B2902CC59C1	06/01/1950	М	5	N	59.315537303		1			39	2009	2	EPI	39	(0 0
20	atyp_ich	23JUL2009	20SEP2009	01JAN2008	31DEC2010	00408B995D249B7F	01/01/1948	F	3	U	61.557837098		1			59	2009	2	EPI	59	(0 0
21	atyp_ich	19NOV2009	17JAN2010	01AUG2008	31DEC2010	00411F49FDB1454E	03/01/1952	F	5	N	57.719370294		1			59	2009	2	EPI	59	(0 0
22	atyp_ich	05OCT2009	03DEC2009	01JAN2008	31DEC2010	0042D807940A55B0	06/01/1964	М	3	U	45.344284736		1			59	2009	2	EPI	59	(0 0
23	atyp_ich	08SEP2009	06NOV2009	01JAN2008	31DEC2010	004404C039676932	09/01/1949	F	5	N	60.019164956		1			59	2009	2	EPI	59	(0 0
24	atyp_ich	23AUG2008	210CT2008	01JAN2008	31DEC2010	0044503881BEE445	12/01/1950	F	5	N	57.727583847		1			59	2008	2	EPI	59	(0 0



Q & A for Level 1 Report



Propensity Score Analysis Report

Propensity Score Analysis



- By assigning an exposure of interest and comparator, the type 2 output can be leveraged in an inferential analysis to:
 - Assign members a propensity score, based on user-defined criteria
 - Calculate adjusted risk estimates using matching or stratification
- For each comparison, a **Cox proportional hazards regression model** is used to estimate hazard ratios and corresponding 95% confidence intervals.
 - There is an option for risk-set level return, and patient-level return.
- Propensity score analysis has a pre-processing step
 - Each patient is ONLY allowed to be in either the treatment or comparator cohort.

Propensity Score Match Design Diagram



Propensity Score

- 1:1 Matching
- Caliper: 0.05
- Age, Sex
- Recorded History
 Parameters

Covariate Evaluation Window

183 days to 1 day prior to index

Exclusion

Dementia 183 days to 0 day prior to index

Enrollment

183-day Enrollment 45 Day Enrollment Gap Medical and Drug Coverage

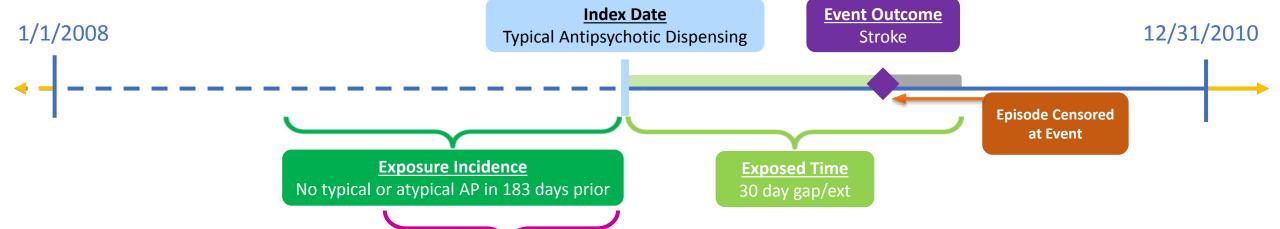
Event Incidence
No stroke in 60 days prior

Cohort Definition

First valid exposure episode; no cohort re-entry

Cohort Characteristics

- Include adults ages 18-65 at index
- Do not restrict sex or race
- No chart availability restrictions



Propensity Score Adjustment Output





Unmatched Baseline Characteristics



Table 1a. Cohort of New Initiators of Typical Antipsychotics and Atypical Antipsychotics, Ischemic Stroke (Unmatched, Aggregated), Ratio: 1:1, Caliper:0.05

		Covariate Balance				
Characteristic	Typical Antip	sychotics	sychotics			
	N/Mean	%/Std Dev ¹	N/Mean	%/Std Dev	Absolute Difference	Standardized Difference
Patients (N)	23,186	100.0%	17,797	100.0%	-	
Demographics						
Mean age	51.6	10.6	52.0	10.5	-0.376	-0.036
Age: 18-39	3,899	16.8%	2,845	16.0%	0.830	0.022
Age: 40-54	8,954	38.6%	6,698	37.6%	0.983	0.020
Age: 55-65	10,333	44.6%	8,254	46.4%	-1.813	-0.036
Gender (Female)	12,358	53.3%	9,508	53.4%	-0.125	-0.003
Gender (Male)	10,828	46.7%	8,289	46.6%	0.125	0.003
Year (2008)	7,318	31.6%	5,342	30.0%	1.546	0.033
Year (2009)	11,034	47.6%	8,448	47.5%	0.120	0.002
Year (2010)	4,834	20.8%	4,007	22.5%	-1.666	-0.040
Recorded history of:						
Prior combined comorbidity raw score	0.0	0.0	0.0	0.0	0.000	
AMI	3,138	13.5%	2,354	13.2%	0.307	0.009
Anxiety	3,745	16.2%	2,593	14.6%	1.582	0.044
Bipolar	6,233	26.9%	4,079	22.9%	3.963	0.092
Depression	7,030	30.3%	4,637	26.1%	4.265	0.095
Diabetes	13,582	58.6%	10,215	57.4%	1.181	0.024
Heart failure	6,795	29.3%	5,061	28.4%	0.869	0.019
Hypercholesterolemia	12,805	55.2%	9,621	54.1%	1.168	0.023
Hypertension	15,961	68.8%	11,907	66.9%	1.934	0.041
Kidney failure	7,009	30.2%	5,116	28.7%	1.483	0.033
Schizophrenia/psychotic	5,372	23.2%	3,416	19.2%	3.975	0.097
Substance abuse	2,178	9.4%	1,449	8.1%	1.252	0.044
Transient ischemic attack	941	4.1%	684	3.8%	0.215	0.011

Matched Baseline Characteristics



Table 1b. Cohort of New Initiators of Typical Antipsychotics and Atypical Antipsychotics, Ischemic Stroke (Matched, Aggregated), Ratio: 1:1, Caliper:0.05

		Covaria	Covariate Balance					
Characteristic	Typical Antip	Typical Antipsychotics Atypical Antipsychotics						
	·	·				Standardized		
	N/Mean	%/Std Dev ¹	N/Mean	%/Std Dev	Difference	Difference		
Patients (N)	17,797	76.8%	17,797	100.0%	-			
Demographics								
Mean age	52.0	10.5	52.0	10.5	0.033	0.003		
Age: 18-39	2,820	15.8%	2,845	16.0%	-0.140	-0.004		
Age: 40-54	6,733	37.8%	6,698	37.6%	0.197	0.004		
Age: 55-65	8,244	46.3%	8,254	46.4%	-0.056	-0.001		
Gender (Female)	9,548	53.6%	9,508	53.4%	0.225	0.005		
Gender (Male)	8,249	46.4%	8,289	46.6%	-0.225	-0.005		
Year (2008)	5,572	31.3%	5,342	30.0%	1.292	0.028		
Year (2009)	8,421	47.3%	8,448	47.5%	-0.152	-0.003		
Year (2010)	3,804	21.4%	4,007	22.5%	-1.141	-0.028		
Recorded history of:								
Prior combined comorbidity raw score	0.0	0.0	0.0	0.0	0.000			
AMI	2,359	13.3%	2,354	13.2%	0.028	0.001		
Anxiety	2,624	14.7%	2,593	14.6%	0.174	0.005		
Bipolar	4,040	22.7%	4,079	22.9%	-0.219	-0.005		
Depression	4,624	26.0%	4,637	26.1%	-0.073	-0.002		
Diabetes	10,206	57.3%	10,215	57.4%	-0.051	-0.001		
Heart failure	5,063	28.4%	5,061	28.4%	0.011	0.000		
Hypercholesterolemia	9,583	53.8%	9,621	54.1%	-0.214	-0.004		
Hypertension	11,890	66.8%	11,907	66.9%	-0.096	-0.002		
Kidney failure	5,086	28.6%	5,116	28.7%	-0.169	-0.004		
Schizophrenia/psychotic	3,453	19.4%	3,416	19.2%	0.208	0.00		
Substance abuse	1,434	8.1%	1,449	8.1%	-0.084	-0.003		
Transient ischemic attack	708	4.0%	684	3.8%	0.135	0.00		

Risk Estimates

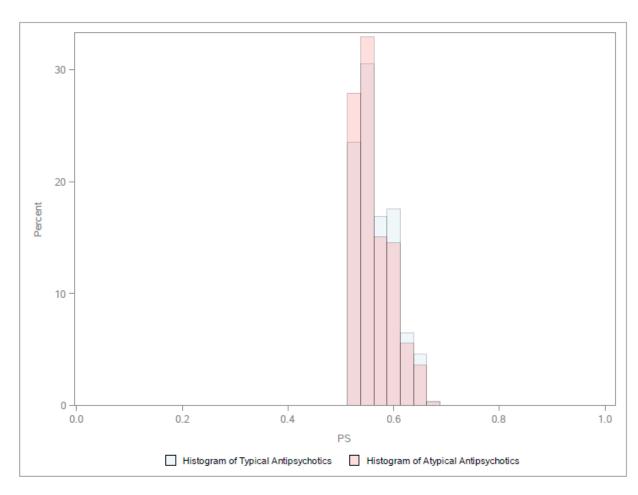


Table 2: Effect Estimates for I	schemic Stroke l	y Analysis T	ype											
Medical Product	Number of New Users	Person Years at Risk	Average Person Days at Risk	Average Person Years at Risk	Number of Events	Incidence Rate per 1000 Person Years	Risk per 1000 New Users	Incidence Rate Difference per 1000 Person Years	Difference in Risk per 1000 New Users	Hazard Ratio (95% CI)	Wald P-Value			
Unmatched Analysis (Site-ad)	justed only)													
Typical Antipsychotics	23,186	3,768.57	59.37	0.16	19	5.04	0.82	1.58	0.26	1.48 (0.69, 3.20)	0.314			
Atypical Antipsychotics	17,797	2,887.43	59.26	0.16	10	3.46	0.56	1.58			0.314			
1:1 Matched Unconditional F	:1 Matched Unconditional Predefined Analysis; Caliper= 0.05													
Typical Antipsychotics	17,797	2,886.62	59.24	0.16	15	5.20	0.84	1.73	0.28	1 54 (0 60 - 2 42)	0.293			
Atypical Antipsychotics	17,797	2,887.43	59.26	0.16	10	3.46	0.56	1./3	0.28	1.54 (0.69, 3.43)	0.293			

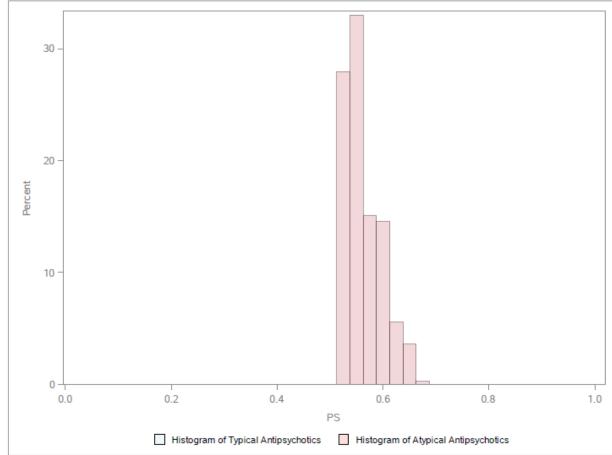
Propensity Score Distribution



Histograms of Propensity Score Distribution Aggregated



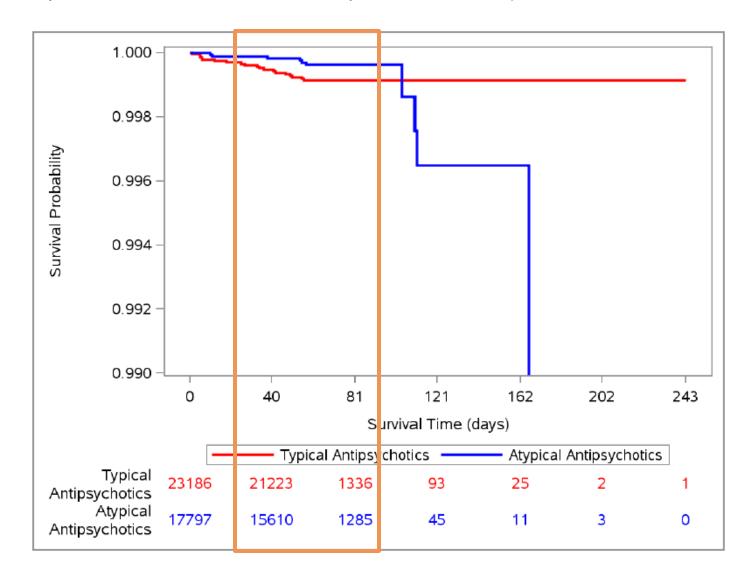
Propensity score 1:1 Aggregated Matched Cohort, Matched Caliper = 0.05



Kaplan Meier Survival Curve



Kaplan Meier Survival Curves of Events and Followup Time for Ischemic Stroke, Full Cohort.





Q & A for Propensity Score Output



Please complete the survey for this session in the Guidebook Mobile App

(Find under "Outputs and Interpreting Reports")



Reference Materials

Commonly Used Acronyms



- CIDA = Cohort Identification and Descriptive Analysis
- DP = Data Partner
- QF = Query Fulfillment
- SCDM = Sentinel Common Data Model
- SDD = Sentinel Distributed Database
- SOC = Sentinel Operations Center
- QRP = Query Request Package

Inclusion/Exclusion Codes – Overview



- Provides a means of restricting the cohort, based on the presence or absence of another condition
 - Inclusion: The presence of another condition is required
 - Exclusion: The <u>absence</u> of another condition is <u>required</u>
- Evaluation for the inclusion/exclusion condition is relative to the exposure date
- Boolean logic (i.e., AND, OR) can be used to create more complex inclusion or exclusion criteria (e.g., evidence of treatment AND diagnosis)

Propensity Score Comparison File – Overview



- Specify covariates for inclusion in the propensity score estimation model
 - Age, sex, year of exposure initiation
 - Any clinical concept that can be defined using a list of codes available in the distributed database
 - Healthcare and/or drug utilization metrics
- Define the matching ratio
 - Fixed 1:1 matching
 - Variable 1: $n (n \le 10)$ matching
- Define caliper as any value between 0 and 1
 - Maximum distance allowed between two matched patients' PS
 - Natural scale of PS (e.g., 0.01, 0.05)

Censor_CIDA Output



Includes information on the number of episodes, event status, and reason for censoring for every day of follow-up

GROUP

Scenario of interest, repeats by group and irrespective to other groups

LEVEL

Stratification identifier, to break down by sex, agegroup, and year

CENSDAYS VALUE

- Number of days from index date to censoring
- Episodes censored on the index date have value of 1

CENSOR_OUTPUT_CAT

Categorizes CENSDAYS_VALUE variable in user-defined ranges.

EPISODES

Number of <u>episodes</u> censored at CENSDAYS_VALUE

Censor_CIDA Output



The episodes are additionally identified for the reason for censoring

- EVENT_FLAG
 - Binary stratification of censoring due to occurrence of outcome
- CENS_ELIG
 - Number of episodes censored due to disenrollment
- CENS_DTH
 - Number of episodes censored due to evidence of death.
- CENS_QRYEND
 - Number of episodes censored due to DP data end date CENS_EPISEND
- CENS_SPEC
 - Number of episodes censored due to additional requester-defined criteria
- CENS_EVENT
 - Number of episodes censored due to occurrence of outcome



Questions?

info@sentinelsystem.org